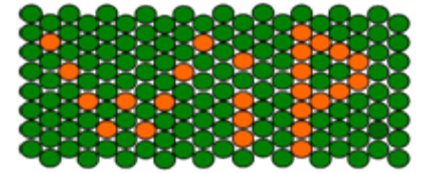




**FORTH**



# Essential electromagnetism for photonic metamaterials

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(FORTH)*



# Photonic metamaterials

**Metamaterials:** Man-made structured materials (composites) with properties different than those of the constituent media – result of the structuring (shape and size of their components). Properties mostly non-existent in natural materials

**Photonic Metamaterials** (electromagnetic metamaterials): metamaterials aimed to control photons (electromagnetic (EM) waves)

Essentials to study **materials** for **photons**?

- **Maxwell's equations** - determine the propagation of EM waves
- **Electromagnetic response of a material**

# Outline

- **Definitions of the essential electromagnetic quantities**
- **Maxwell's equations**
- **Wave equation – waves in dielectrics and metals**
- **Electromagnetic response (dispersive properties) of materials**
- **Constitutive relations – materials classifications**

**Linear, isotropic, homogeneous, non-magnetic materials**

# **Basic electromagnetic quantities: Definitions**

# Microscopic electric and magnetic field

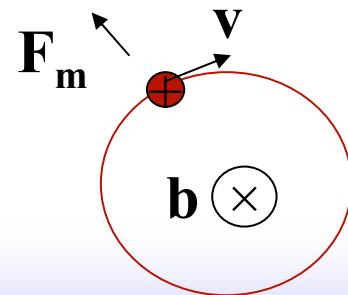
Let's point charge  $q$  moving with velocity  $\mathbf{v}$  in fields  $\mathbf{e}$  and  $\mathbf{b}$

Force on  $q$ : 
$$\mathbf{F} = \underbrace{q \mathbf{e}}_{\substack{\text{Microscopic} \\ \text{electric field}}} + \underbrace{q \mathbf{v} \times \mathbf{b}}_{\substack{\text{Microscopic} \\ \text{magnetic field}}} \quad \text{Lorenz force}$$

- **Electric field,  $\mathbf{e}$ :** Electric force ( $F_e$ ) per unit charge
- **Magnetic field (induction),  $\mathbf{b}$ :** proportional to magnetic force ( $F_m$ ) exerted at a moving charge

Electric force ( $F_e$ ) parallel to electric field; direction depends on charge

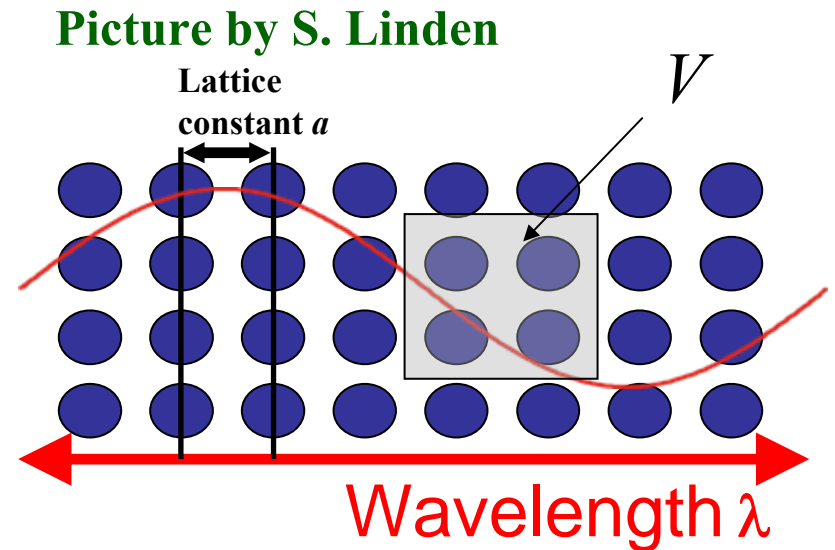
Magnetic force ( $F_m$ ) perpendicular to magnetic field; exerted at a currents



# Macroscopic electric and magnetic field

**Macroscopic quantities:**  
Averaged quantities over  
volume  $V$  such as

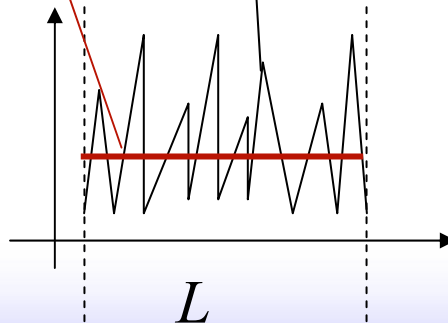
$$a^3 \ll V \ll \lambda^3$$



**Electric &  
magnetic fields:**

$$\mathbf{E} = \frac{1}{V} \int_V \mathbf{e} dV$$

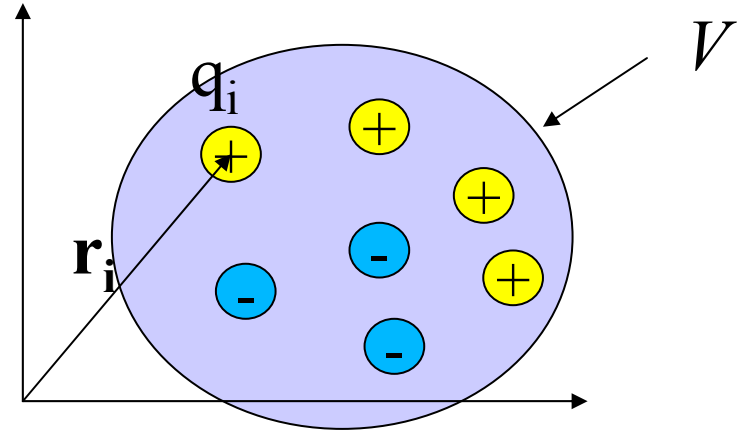
$$\mathbf{B} = \frac{1}{V} \int_V \mathbf{b} dV$$



# Charge density and dipole moment

**Charge density,  $\rho$ :** Charge per unit volume

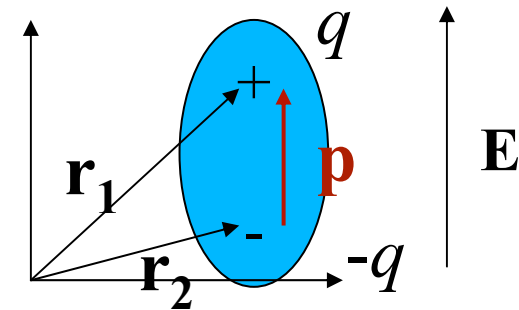
$$\rho = \frac{1}{V} \int_V \rho_m dV = \frac{1}{V} \sum_i q_i$$



**Dipole moment,  $\mathbf{p}$ , of a dipole (e.g. atom):**

$$\mathbf{p} = q\mathbf{r}$$

Displacement of the negative in respect to the positive charge, caused by an external field



**Dipole moment of a system of charges:**

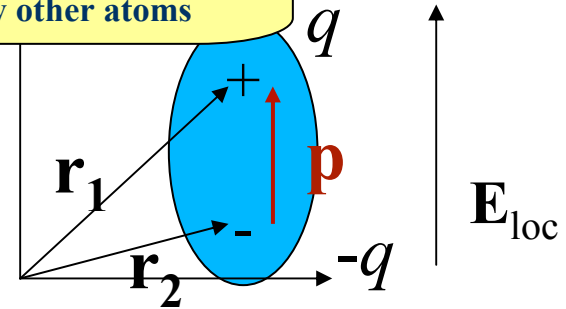
$$\mathbf{p} = \sum_i q_i \mathbf{r}_i$$

# Polarizability, polarization, susceptibility

**Polarizability,  $\alpha$  :**  $\mathbf{p} = \alpha \mathbf{E}_{loc}$

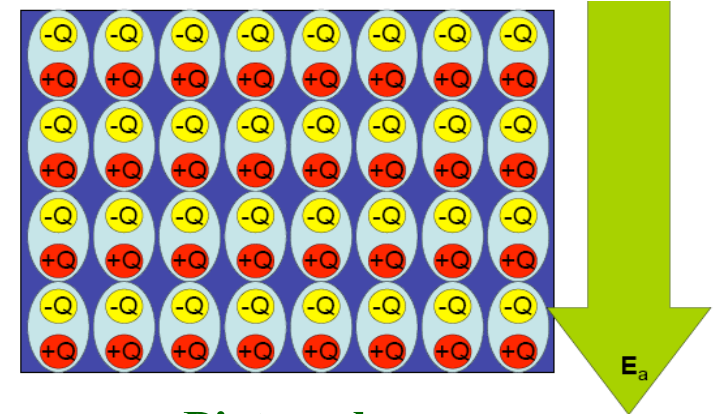
The ability of an “atom/molecule” to be polarized

Local electric field acting on an atom, i.e. external field and field scattered by other atoms



**Polarization,  $\mathbf{P}$ :** (polarization density)  $\mathbf{P} = \frac{1}{V} \sum_i \mathbf{p}_i$

Dipole moment per unit volume – how much a system of dipoles is polarized (on the average)



Picture by ...

**Electric susceptibility,  $\chi$  :**  $\mathbf{P} = \epsilon_0 \chi \mathbf{E}$

$\epsilon_0 = 8.85 \times 10^{-12}$  Farads/m

How susceptible is a system to an overall charge displacement

# Current density - magnetic dipole moment - magnetization

Local current density,  $\mathbf{j}$  :  $\mathbf{j} = q\mathbf{v}$

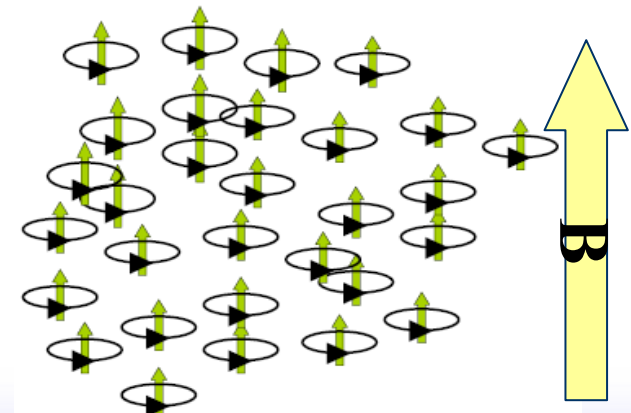
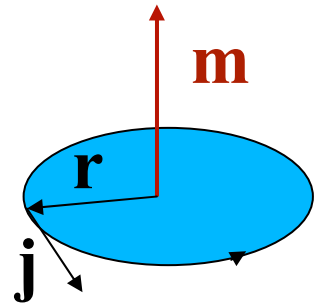
Current density,  $\mathbf{J}$  : Current per unit area  $\mathbf{J} = \rho\mathbf{v} (= \frac{1}{V} \sum_i q_i \mathbf{v}_i)$

Magnetic dipole moment,  $\mathbf{m}$ :  $\mathbf{m} = \frac{1}{2} \mathbf{r} \times \mathbf{j}$

Re-orientation of an atom as to be aligned with an external magnetic field

Magnetization,  $\mathbf{M}$ :  $\mathbf{M} = \frac{1}{V} \sum_i \mathbf{m}_i$

On the average reorientation of the atoms parallel to the magnetic field – strength of the interaction with  $\mathbf{B}$



# Displacement field and magnetic field

**Displacement field,  $\mathbf{D}$ :**

$$\mathbf{D} = \varepsilon_0 \mathbf{E} + \mathbf{P}$$

**Magnetic field,  $\mathbf{H}$ :**

$$\mathbf{H} = \frac{1}{\mu_0} (\mathbf{B} - \mathbf{M})$$



$$\mathbf{B} = \mu_0 \mathbf{H} + \mathbf{M}$$

**Fields with sources only external (or free) charges and currents**

**Note: The actual total, measurable fields are  $\mathbf{E}$  and  $\mathbf{B}$**

# Dielectric function, Conductivity

Dielectric function/permittivity,  $\epsilon$  :

$$\mathbf{D} = \epsilon \mathbf{E}$$

Relative permittivity,  $\epsilon_r$  :

$$\epsilon_r = \epsilon / \epsilon_0$$

How much the total field is reduced compared to applied field

$$\mathbf{E}_{total} = \mathbf{E}_{applied} / \epsilon_r$$

$$\epsilon = \epsilon_0 (1 + \chi)$$

Conductivity,  $\sigma$  :

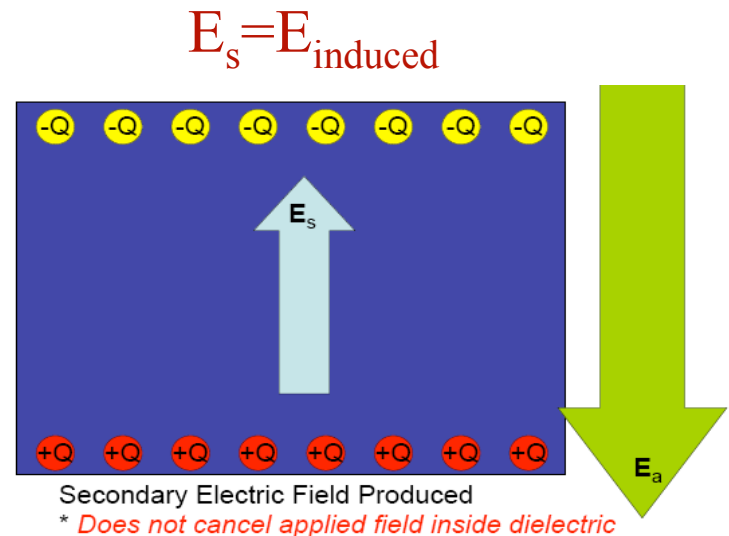
$$\mathbf{J} = \sigma \mathbf{E}$$

The ability of a material to conduct an electric current

- Current is caused by an  $E$ -field exerting forces on charge carriers
- Current density  $J$  depends **linearly** on  $E$
- Current density  $J$  depends **linearly** on  $\sigma$

Resistivity,  $\rho$  :

$$\rho = \frac{1}{\sigma}$$



# Magnetic susceptibility, permeability

Magnetic susceptibility,  $\chi_m$  :  $\mathbf{M} = \mu_0 \chi_m \mathbf{B}$

How susceptible is a system to an overall magnetization

Magnetic permeability,  $\mu$  :  $\mathbf{B} = \mu \mathbf{H}$

$$\mu_0 = 4\pi \times 10^{-7} \text{ Henries/m}$$

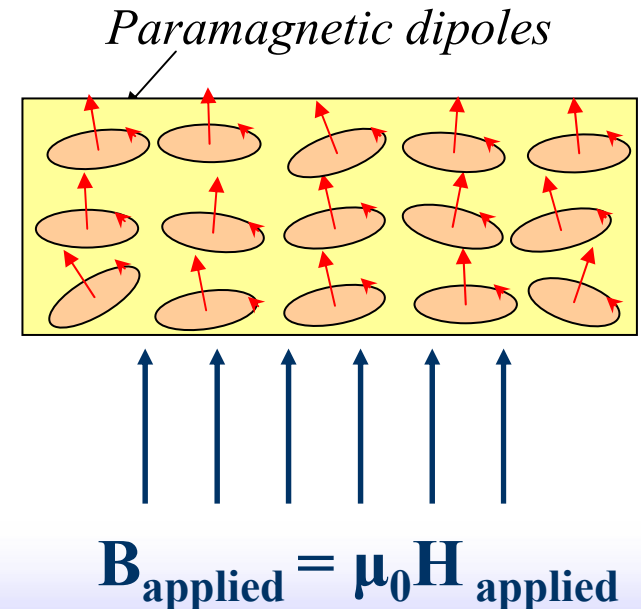
Relative permeability,  $\mu_r$  :  $\mu_r = \mu / \mu_0$

How much the total field is changed compared to applied field

$$\mathbf{B}_{total} = \mu_r \mathbf{B}_{applied}$$

Paramagnetic:  $\mu_r > 1$

Diamagnetic:  $\mu_r < 1$   
(magnetic dipoles anti-align)



# Electric of an electric and magnetic dipole

Energy of a dipole in an external E field:

$$U_d = -\mathbf{p} \cdot \mathbf{E}$$

Energy of a magnetic dipole in an external B field:

$$U_m = -\mathbf{m} \cdot \mathbf{B}$$

# Charge and current density in a medium

Total charge density:

$$\rho_{tot} = \rho_{free} + \rho_{bound} + \rho_{external}$$

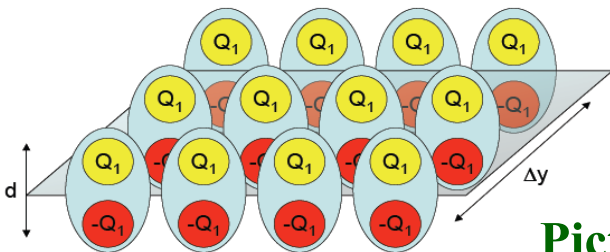
Free electrons

Bound electrons

external (source) charges

Total current density:

$$\mathbf{J}_{tot} = \mathbf{J}_{free} + \mathbf{J}_{bound} + \mathbf{J}_{external}$$



Picture by ...

# **Maxwell's equations in matter**

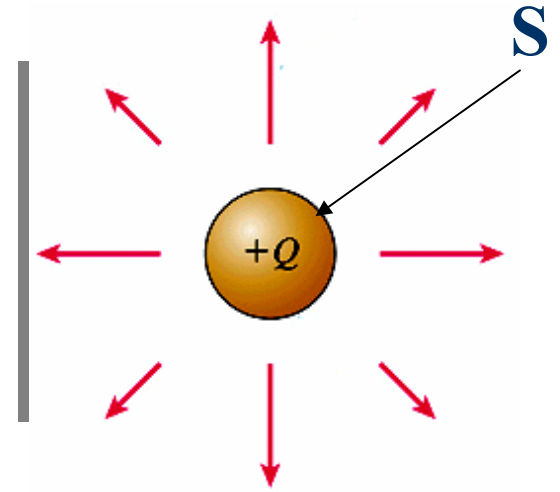
# Divergence and curl of a vector field

$$\int_S \mathbf{F} \cdot d\mathbf{S} = \int_V \nabla \cdot \mathbf{F} dV \quad \text{Gauss (divergence) theorem}$$

→ Divergence definition:

$$\nabla \cdot \mathbf{F} = \lim_{V \rightarrow 0} \frac{1}{V} \int_S \mathbf{F} \cdot d\mathbf{S}$$

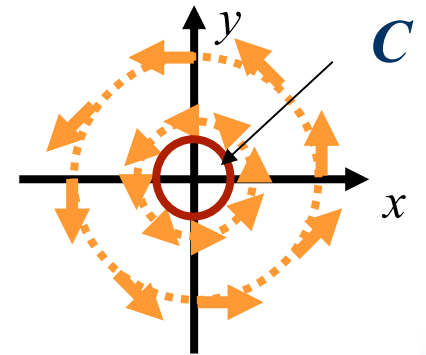
Large divergence: large normal to  $S$  components - “strong” sources



$$\int_C \mathbf{F} \cdot d\mathbf{l} = \int_S (\nabla \times \mathbf{F}) d\mathbf{S} \quad \text{Stokes theorem}$$

→ Curl definition:  $\nabla \times \mathbf{F} = \lim_{S \rightarrow 0} \frac{1}{S} \int_C \mathbf{F} \cdot d\mathbf{l}$

Large curl: large tangential to  $C$  components



# Maxwell's div equations in matter

$$\nabla \cdot \mathbf{E} = \frac{\rho_{tot}}{\epsilon_0}$$

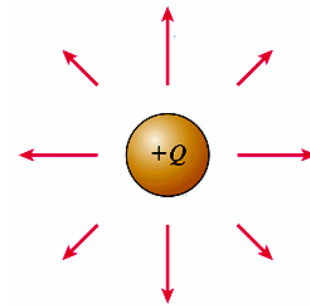
**Gauss law**

Volume integration and  
use of div theorem

$$\int_S \mathbf{E} \cdot d\mathbf{S} = \frac{Q}{\epsilon_0}$$

$$\nabla \cdot \mathbf{B} = 0$$

- Diverging field lines from a point indicate the presence of electric charge at that point
- This charge can be “detected” by surrounding the point with a surface and observing the flux through the surface



No “magnetic charges” (magnetic monopoles)

# Maxwell's curl equations in matter

$$\nabla \times \mathbf{B} = \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} + \mu_0 \mathbf{J}$$

**Ampere's law**

Magnetic fields are produced by

- currents
- time-varying electric fields

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

**Faraday's law**

Time varying magnetic field produces an electric field

Surface integration and use of curl theorem

$$\int \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial \Phi}{\partial t}$$

**Electromotive force**

$$\Phi = \int \mathbf{B} \cdot d\mathbf{S}$$

**Magnetic flux**

# Maxwell's equations through D and H

Two equivalent descriptions appear in literature

**Description 1: Distinction between free and bound electrons**  
(preferred in microwaves)

Dielectric function  
polarization  
displacement field



Bound charges'  
response

$$\mathbf{J}_b = \frac{\partial \mathbf{P}}{\partial t}$$

$$\mathbf{D} = \epsilon \mathbf{E}$$

Conductivity



Free charges'  
response

$$\mathbf{J}_f = \sigma \mathbf{E}$$

Note:  $\mathbf{J} = \frac{\partial \mathbf{P}}{\partial t}$  (Result easily from the definition equations)

$$\nabla \cdot \mathbf{P} = -\rho$$

# Maxwell's equations through $\mathbf{D}$ and $\mathbf{H}$

**Description 2:** No distinction between free and bound electrons (preferred in optics)

Dielectric function  
polarization  
displacement field  
conductivity

describe

Total charges'  
response

$$\nabla \cdot \mathbf{P} = -(\rho_b + \rho_f)$$
$$\mathbf{J} = \mathbf{J}_b + \mathbf{J}_f = \sigma_{tot} \mathbf{E} = \frac{\partial \mathbf{P}}{\partial t}$$
$$\varepsilon = \varepsilon_{total} = \varepsilon_0 + i \frac{\sigma_{tot}}{\omega}$$
$$\mathbf{D} = \varepsilon_{tot} \mathbf{E}$$

# Maxwell's equations through D and H

$$\nabla \cdot \mathbf{D} = \rho$$

## Gauss law

Sources of  $\mathbf{D}$  are only the free (if no external) charges

$$\nabla \cdot \mathbf{B} = 0$$

## Description 1

$$\rho = \rho_f + \rho_{ext}$$

$$\mathbf{J} = \mathbf{J}_f + \mathbf{J}_{ext}$$

$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} + \mathbf{J}$$

## Ampere's law

Sources of  $\mathbf{H}$  are only the free (if no external) currents

## Description 2

$$\rho = \rho_{ext}$$

$$\mathbf{J} = \mathbf{J}_{ext}$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

## Faraday's law

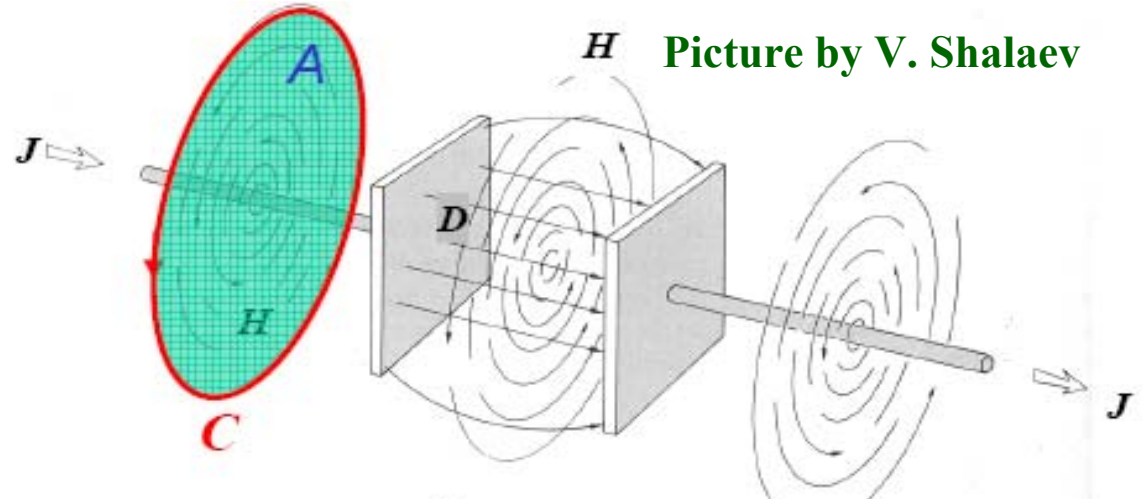
$$\mathbf{J}_f \equiv \mathbf{J}_{free}$$

# Ampere's law

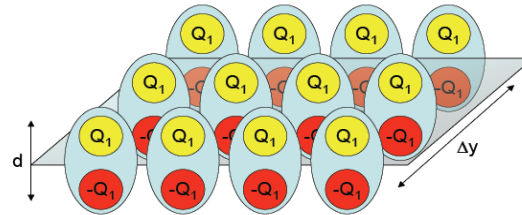
$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} + \mathbf{J}_f$$



**Displacement  
current**



$$\mathbf{J}_D = \frac{\partial \mathbf{D}}{\partial t} = \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} + \frac{\partial \mathbf{P}}{\partial t}$$



Picture by ...

**In high frequencies  
 $\mathbf{J}_D$  becomes larger**

$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} + \mathbf{J}_f = \epsilon \frac{\partial \mathbf{E}}{\partial t} + \sigma \mathbf{E} = -i\omega \epsilon \mathbf{E} + \sigma \mathbf{E} = -i\omega \left( \epsilon + \frac{i\sigma}{\omega} \right) \mathbf{E}$$

$\epsilon_{tot}$



# **Electromagnetic Waves**

# From Maxwell's equations to Wave equation

Maxwell's curl equations in absence of external and free charges and currents:

$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} \quad \nabla \times \mathbf{H} = \varepsilon \frac{\partial \mathbf{E}}{\partial t}$$

$$\nabla \times (\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t}) \Rightarrow \nabla^2 \mathbf{E} = \mu \frac{\partial \nabla \times \mathbf{H}}{\partial t} = \varepsilon \mu \frac{\partial^2 \mathbf{E}}{\partial t^2}$$

$\nabla \times \nabla \times \mathbf{E} = \nabla(\nabla \cdot \mathbf{E}) - \nabla^2 \mathbf{E}$        $\nabla \times \mathbf{H} = \varepsilon \frac{\partial \mathbf{E}}{\partial t}$

Same  
for H



$$\nabla^2 \mathbf{E} - \varepsilon \mu \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

Wave equation

$$\nabla^2 f - \frac{1}{v^2} \frac{\partial^2 f}{\partial t^2} = 0$$

Wave velocity,  $v$ :

$$v = \frac{1}{\sqrt{\varepsilon \mu}} = \frac{c}{n}$$

$$c = \frac{1}{\sqrt{\varepsilon_0 \mu_0}}$$

$$c = 3.0 \times 10^8 \text{ m/s}$$

Refractive index,  $n$ :

$$n = \sqrt{\frac{\varepsilon \mu}{\varepsilon_0 \mu_0}}$$

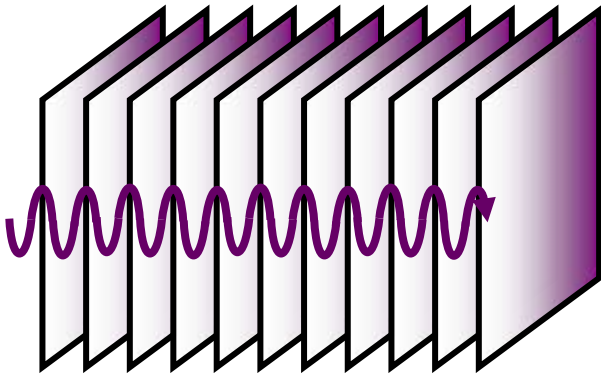
# Electromagnetic waves: Definitions (1)

$$\nabla^2 \mathbf{E} - \varepsilon\mu \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

Wave equation has solutions of the form

$$\mathbf{E}(\mathbf{r}, t) = \bar{\mathbf{E}}_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t + \varphi)$$

Solutions are called **plane waves**, since the wavefronts (contours of maximum field) are planes



Picture by R. Trebino

Wave vector      Angular frequency      Phase lag

Dispersion relation:

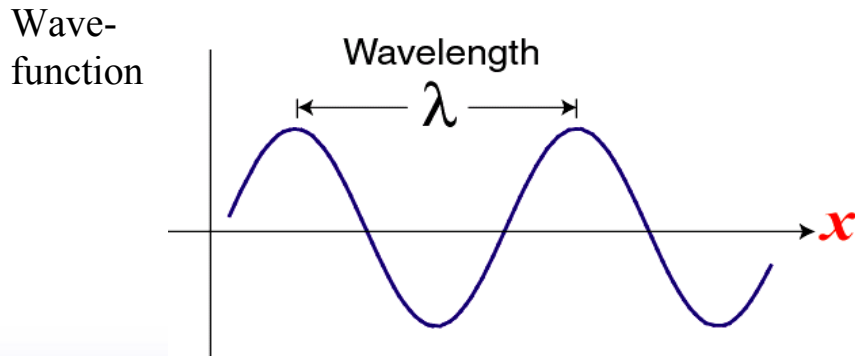
$$k = \frac{\omega}{c} n$$

# Electromagnetic waves: Definitions (2)

$$\mathbf{E} = \bar{\mathbf{E}}_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t + \varphi)$$

$$k = \frac{2\pi}{\lambda}$$

**Wavelength,  $\lambda$ :** distance between any successive identical parts of a wave



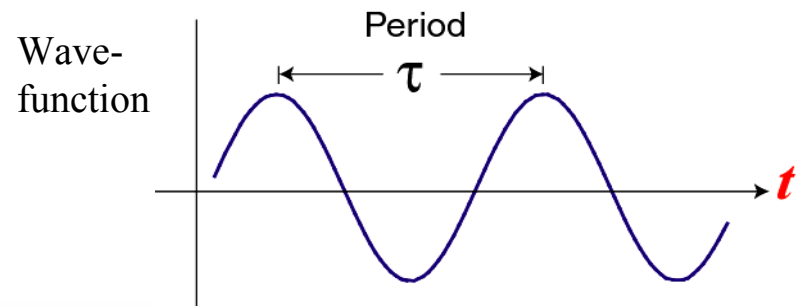
Pictures by R. Trebino

## Dispersion relation

$$k = \frac{\omega}{c} n \longleftrightarrow \lambda f = \frac{c}{n}$$

$$\omega = 2\pi f = \frac{2\pi}{\tau}$$

**Frequency  $f$ :** number of vibrations per unit time



# Electromagnetic waves and complex notation

**Plane wave**      $\mathbf{E} = \bar{\mathbf{E}}_0 \cos(\mathbf{k} \cdot \mathbf{r} - \omega t + \varphi)$

**Since**      $\mathbf{E} = \text{Re}[\bar{\mathbf{E}}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t + \varphi)}]$       $e^{i\mathcal{G}} = \cos \mathcal{G} + i \sin \mathcal{G}$

we adopt complex notation, incorporating phase into a complex amplitude  $\mathbf{E}_0$ . Thus

$$\mathbf{E} = \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

**Note! Physical fields are the real parts**

$$\mathbf{E}_0 = \bar{\mathbf{E}}_0 e^{i\varphi}$$

# Electromagnetic (EM) waves features

$$\mathbf{E} = \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

$$\mathbf{H} = \mathbf{H}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

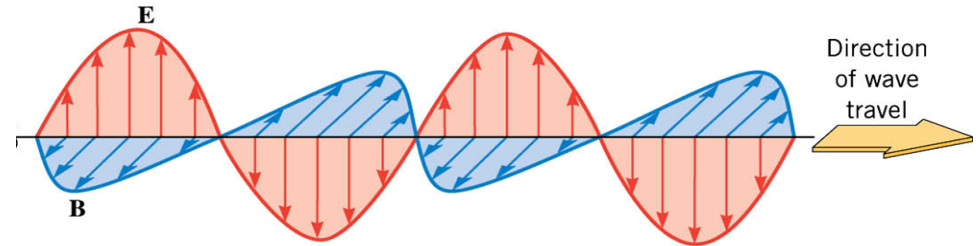
Substituting in Maxwell's equations:

$$\nabla \cdot \mathbf{E} = 0 \Rightarrow \mathbf{k} \cdot \mathbf{E} = 0$$

$$\nabla \cdot \mathbf{H} = 0 \Rightarrow \mathbf{k} \cdot \mathbf{H} = 0$$

$$\nabla \times \mathbf{E} = -\mu \frac{\partial \mathbf{H}}{\partial t} \Rightarrow \mathbf{k} \times \mathbf{E} = \mu \omega \mathbf{H}$$

➔ EM waves are transverse

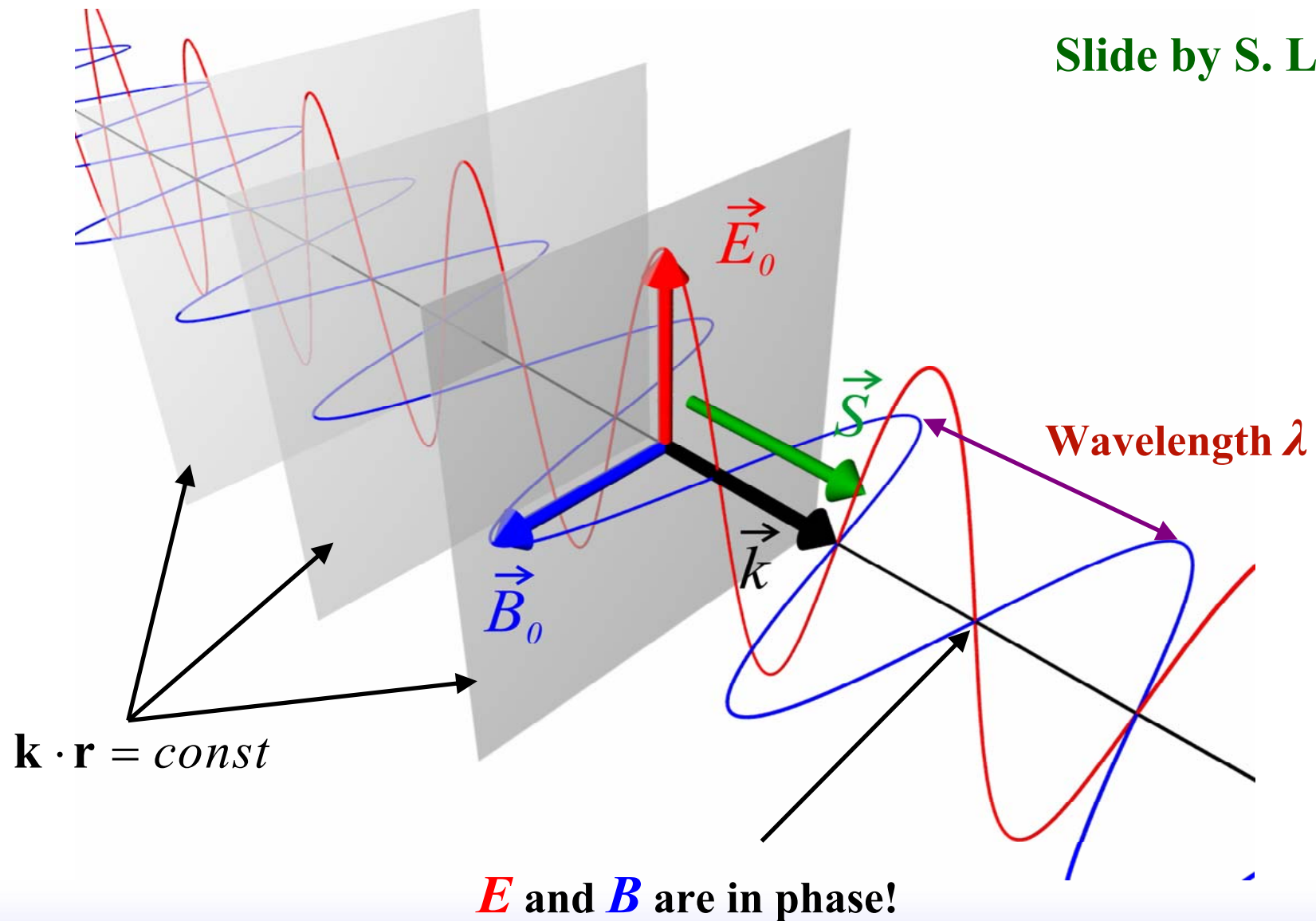


➔ Magnetic and electric fields are perpendicular and in phase

$$H_0 = \frac{k}{\mu \omega} E_0$$

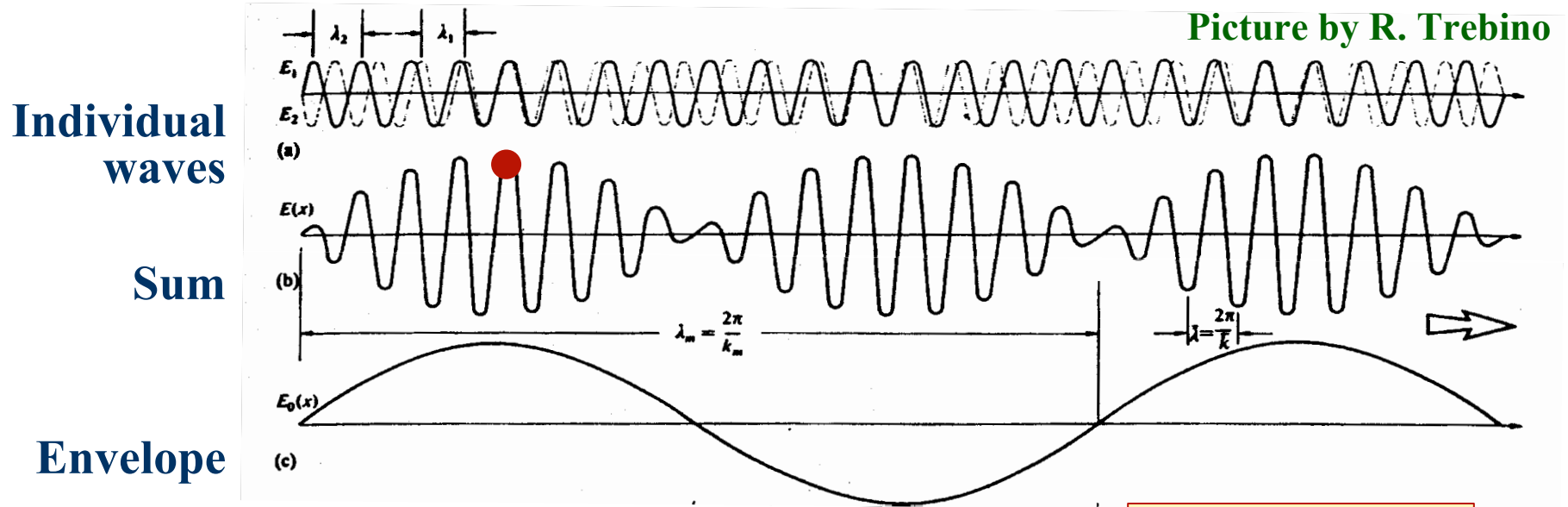
# Plane EM waves

Slide by S. Linden



# Phase and Group velocity

Adding plane waves of different wavelength produces beats:



The points of constant phase (e.g. maxima) move with the **phase velocity,  $c_{ph}$**

$$c_{ph} = \frac{dx}{dt} = \frac{\omega}{k}$$

$$kx - \omega t = const \Rightarrow \frac{d(kx - \omega t)}{dt} = 0 \Rightarrow \frac{dx}{dt} = \frac{\omega}{k}$$

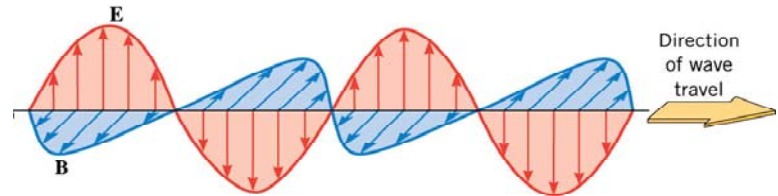
The envelop moves with the **group velocity,  $v_g$**

$$v_g = \frac{d\omega}{dk}$$

# Plane wave polarization

## Linearly polarized wave

the *electric field* oscillates along only one direction



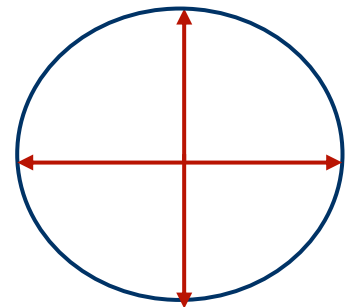
## Circularly polarized wave

the *electric field* amplitude writes a circle as the wave propagates

Produced by two fields of:

Equal magnitude, normal direction, phase difference  $90^\circ$

$$\mathbf{E}(t) = E_0 [\cos(\omega t)\hat{\mathbf{x}} + \cos(\omega t + \pi / 2)\hat{\mathbf{y}}]$$



# Energy density and poynting vector

$$\mathbf{S} = \text{Re}(\mathbf{E}) \times \text{Re}(\mathbf{H}) \quad \longleftarrow \quad \text{Poynting vector} \quad (\text{energy flow of a wave})$$

$$\langle \mathbf{S} \rangle = \int_t^{t+\tau} \mathbf{S} dt = \frac{1}{2} \text{Re}(\mathbf{E} \times \mathbf{H}^*) \quad \text{Time averaged over one period}$$

The magnitude of  $\mathbf{S}$  denotes the intensity of the electromagnetic field:

$$I = |\mathbf{S}| = \frac{1}{2} \varepsilon_0 \varepsilon c |\mathbf{E}_0|^2$$

$$w = \frac{1}{4} \text{Re}(\mathbf{E} \cdot \mathbf{D}^* + \mathbf{H} \cdot \mathbf{B}^*) \quad \longleftarrow \quad \text{Energy density averaged over one period}$$

Energy per unit volume carried by an EM wave propagate in non-dispersive media

# EM waves in metals

$$\nabla^2 \mathbf{E} - \varepsilon \mu \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

$$\mathbf{E} = \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

$$\varepsilon = \varepsilon_0 + i \frac{\sigma}{\omega}$$

Dispersion relation:

$$k = \frac{\omega}{c} n$$

but

$$n = \sqrt{\frac{\varepsilon \mu_0}{\varepsilon_0 \mu_0}} = \sqrt{1 + i \frac{\sigma}{\omega \varepsilon_0}} = n_r + i n_i$$



$$k = k_r + i k_i$$



In 1 dimension for simplicity

Waves of the form:  $\mathbf{E} = \mathbf{E}_0 e^{i(k_r x - \omega t)} e^{-k_i x}$

**Attenuated waves**

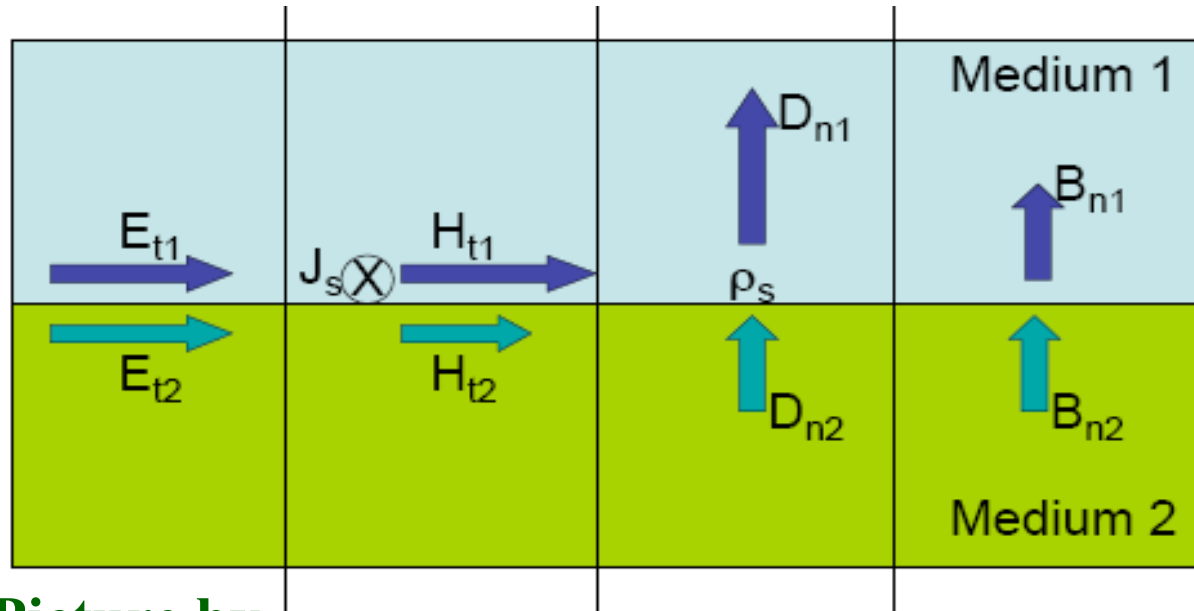
Skin depth,  $\delta$ : 
$$\delta = \frac{1}{2k_i} \approx \frac{1}{\sqrt{2\sigma\mu_0\omega}}$$

Traveling distance required for intensity reduction  $e^{-1}$

For good conductors

# Electromagnetic fields at interfaces

From Maxwell's equations in integral form



**E tangential**  
**H tangential**  
**D normal**  
**B normal**

**have to be continuous**  
**across charge- and**  
**current-free interfaces**

Picture by ...

$$\epsilon_1 E_{1n} = \epsilon_2 E_{2n}$$

$$\mu_1 H_{1n} = \mu_2 H_{2n}$$

**Subscript t = tangential**  
**Subscript n = normal**

$$\frac{1}{\epsilon_1} D_{1t} = \frac{1}{\epsilon_2} D_{2t}$$

$$\frac{1}{\mu_2} B_{2t} = \frac{1}{\mu_1} B_{1t}$$

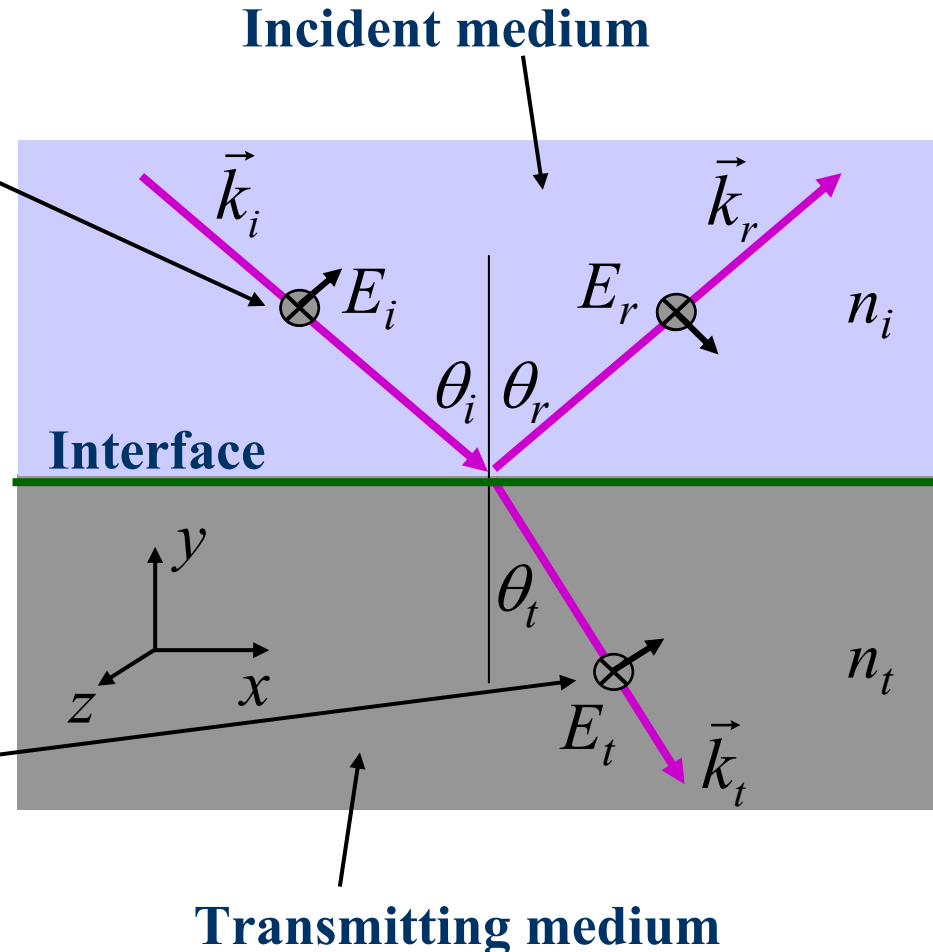
# Refraction at a plane interface: Definitions

Slide by R. Trebino

**Perpendicular (“S”)**  
polarization **perpendicular**  
to the plane of incidence

**Plane of incidence** is  
the plane that  
contains the  
incident and  
reflected k-vectors

**Parallel (“P”)**  
polarization lies **parallel**  
to the plane of incidence



# Refraction at a plane interface: Definitions

Reflection and transmission amplitudes:

$$r_{\perp} = E_{0r} / E_{0i}$$

$$t_{\perp} = E_{0t} / E_{0i}$$

for the perpendicular polarization

$$r_{//} = E_{0r} / E_{0i}$$

$$t_{//} = E_{0t} / E_{0i}$$

for the parallel polarization

Their calculation leads to Fresnel formulas

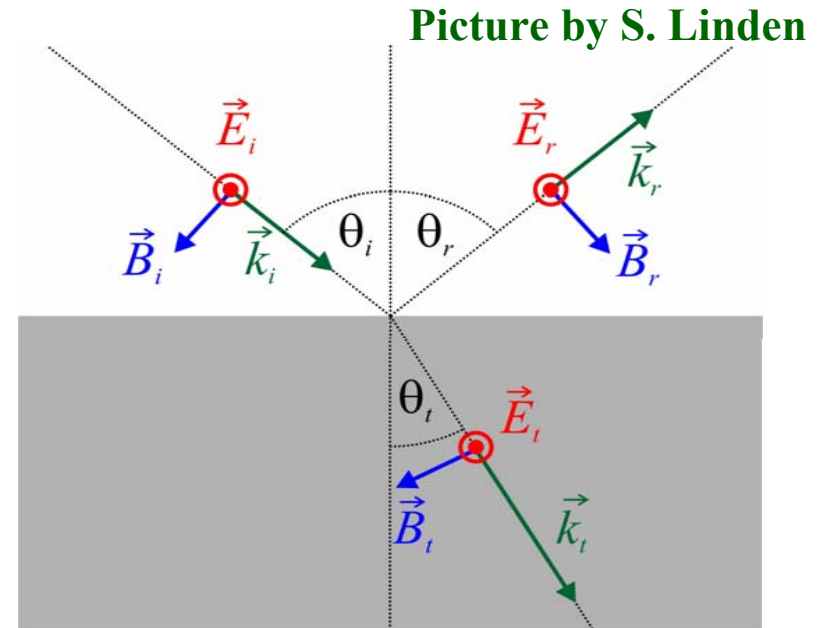
Continuity of parallel k



$$\theta_i = \theta_r$$

$$n_i \sin(\theta_i) = n_t \sin(\theta_t)$$

← Snell's law



$E_{0i}$ ,  $E_{0r}$ , and  $E_{0t}$  are the field complex amplitudes – calculated by applying boundary conditions

# Reflection and transmission coefficients

## Reflection coefficient $R$

$$R = \frac{\text{Reflected poynting vector}}{\text{Incident poynting vector}} = |r|^2$$

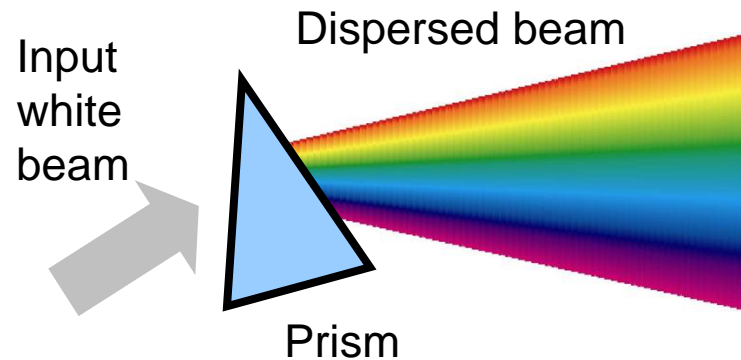
Components perpendicular to the interface

## Transmission coefficient $T$

$$T = \frac{\text{Transmitted poynting vector}}{\text{Incident poynting vector}} = |t|^2 \frac{\epsilon_2}{\epsilon_1}$$

**For lossless media  $T+R=1$**

# Electromagnetic response (dispersive properties) of materials



Picture by R. Trebino

**Dispersion is the tendency of optical properties to depend on frequency**

# Relations among the fields

The material properties in Maxwell's equations enter via the **constitutive relations**  $\mathbf{D} = \varepsilon\mathbf{E}$ ,  $\mathbf{B} = \mu\mathbf{H}$

$$\mathbf{D}(\mathbf{r}, t) = \varepsilon\mathbf{E}(\mathbf{r}, t)??$$

NO

$$\mathbf{P}(\mathbf{r}, t) = \varepsilon_0\chi\mathbf{E}(\mathbf{r}, t)??$$

**Actual relations for time varying fields are not instantaneous**

$$\mathbf{D}(t) = \int dt' \varepsilon(t - t')\mathbf{E}(t') \quad \mathbf{P}(t) = \varepsilon_0 \int dt' \chi(t - t')\mathbf{E}(t')$$

**Polarization (and thus  $\mathbf{D}$ ) is affected by the field at previous times**

**Relations are neither local**

$$\mathbf{D}(\mathbf{r}, t) = \int dt' \int d^3r' \varepsilon(\mathbf{r}, \mathbf{r}'; t - t')\mathbf{E}(\mathbf{r}', t')$$

**Polarization affected by the neighborhood of point  $\mathbf{r}$**

# Relations among the fields

After Fourier transform

$$\tilde{E}(\omega) = \int_{-\infty}^{\infty} E(t) \exp(-i\omega t) dt$$

$$\mathbf{D}(\omega) = \varepsilon(\omega)\mathbf{E}(\omega)$$

$$\mathbf{P}(\omega) = \varepsilon_0\chi(\omega)\mathbf{E}(\omega)$$

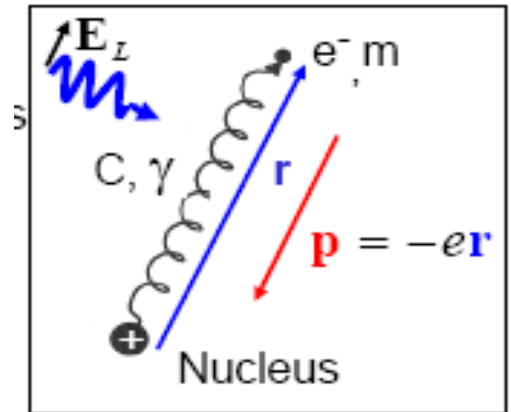
➔ **Material parameters are functions of frequency**  
**I.e., slow response of matter results to frequency dependent  $\varepsilon$**

**For long-range interactions are also functions of wavenumber**

# Calculation of the material response for dielectrics

A bound electron in an electric field is treated as a **forced harmonic oscillator**

Picture by V. Shalaev



2<sup>nd</sup> Newton's law

$$m_e \frac{d^2 \mathbf{r}}{dt^2} = \mathbf{F}_{damping} + \mathbf{F}_{restoring} + \mathbf{F}_{external}$$

$$m_e \frac{d^2 \mathbf{r}}{dt^2} + m_e \gamma \frac{d\mathbf{r}}{dt} + m_e \omega_0^2 \mathbf{r} = -e \tilde{\mathbf{E}}_L \exp(-i\omega t)$$

**Resonance frequency**

**Damping factor**

$$\mathbf{p} = -e\mathbf{r} = \mathbf{p}_0 \exp(-i\omega t)$$

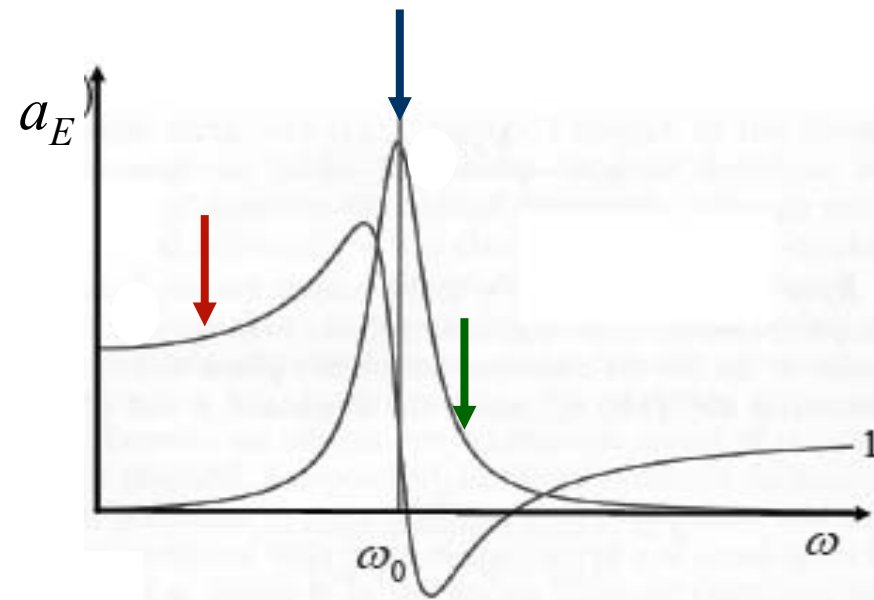
**Dipole moment of the resulting dipole**

$$\mathbf{p} = \left( \frac{e^2}{m_e} \frac{1}{\omega^2 - \omega_0^2 + i\omega\gamma} \right) \mathbf{E}_L$$

**Atomic polarizability,  $a_E$**

# The forced oscillator

$$\mathbf{p} = \frac{e^2}{m_e} \frac{1}{\omega^2 - \omega_0^2 + i\omega\gamma} \mathbf{E}_L$$



Slide by ....

	Force	Oscillator	
<p><b>Below resonance</b></p> <p><math>\omega \ll \omega_0</math></p>	—	●	Weak vibration. In phase.
<p><b>On resonance</b></p> <p><math>\omega = \omega_0</math></p>	—	●	Strong vibration. 0° out of phase.
<p><b>Above resonance</b></p> <p><math>\omega \gg \omega_0</math></p>	—	●	Weak vibration. 180° out of phase.

Slide by R. Trebino

# Polarization and susceptibility of a system of atoms

$$\mathbf{p} = -\frac{e^2}{m_e} \frac{1}{\omega^2 - \omega_0^2 + i\omega\gamma} \mathbf{E}_L$$

For a system of  $n$  atoms per unit volume, of one electron per atom, one can calculate polarization, as

$$\mathbf{P} = \frac{1}{V} \sum_i \mathbf{p}_i = \epsilon_0 \left( -\frac{ne^2}{\epsilon_0 m_e} \frac{1}{\omega^2 - \omega_0^2 + i\omega\gamma} \right) \mathbf{E}_L$$

For rare systems, local field  $\mathbf{E}_L$  equals averaged field  $\mathbf{E}$

$$\mathbf{P} = \frac{1}{V} \sum_i \mathbf{p}_i = \epsilon_0 \left( -\frac{ne^2}{\epsilon_0 m_e} \frac{1}{\omega^2 - \omega_0^2 + i\omega\gamma} \right) \mathbf{E}$$

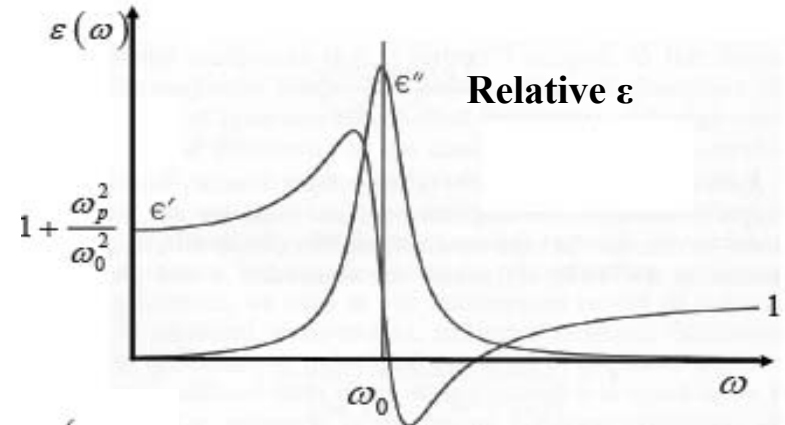
$\chi_e$  susceptibility

**This is the Lorentz model**

# Dielectric function of a system of atoms

$$\epsilon = \epsilon_0(1 + \chi_e) \quad \omega_p^2 = \frac{ne^2}{\epsilon_0 m_e}$$

$$\epsilon = \epsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 - \omega_0^2 + i\omega\gamma} \right)$$



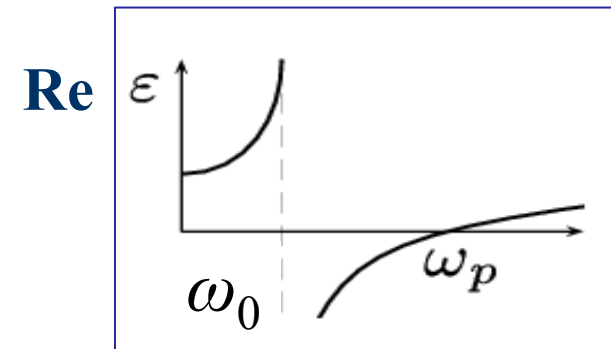
Realistic atoms have many resonance frequencies

**Lorenz-type  $\epsilon$**

**What  $\omega_0$  and  $\gamma$  represent?**

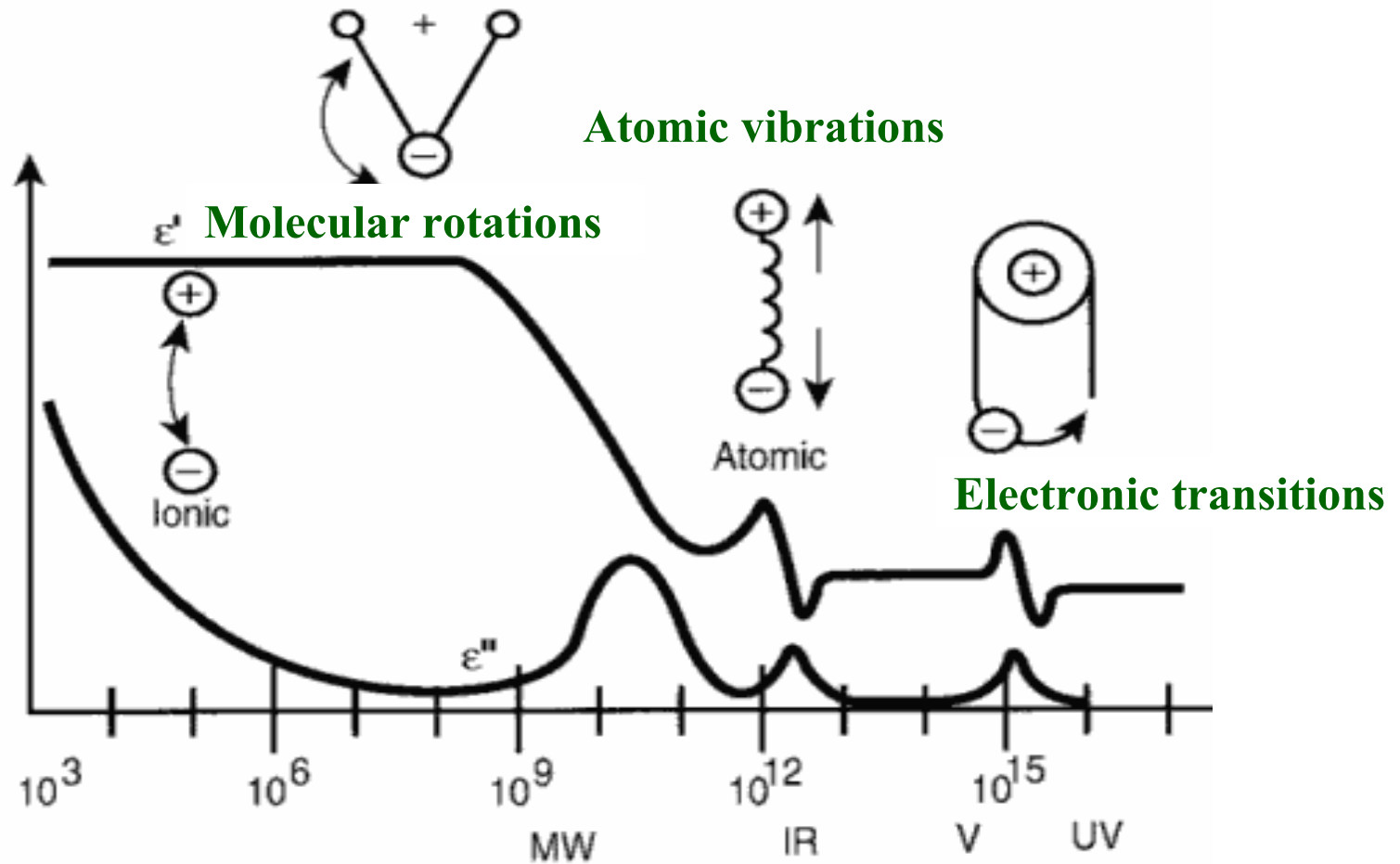
$\omega_0$  : frequency of any atomic or molecular transition, between electronic, oscillating, or rotating levels

$\gamma$  : losses due to collisions, spontaneous emission



**For  $\gamma=0$**

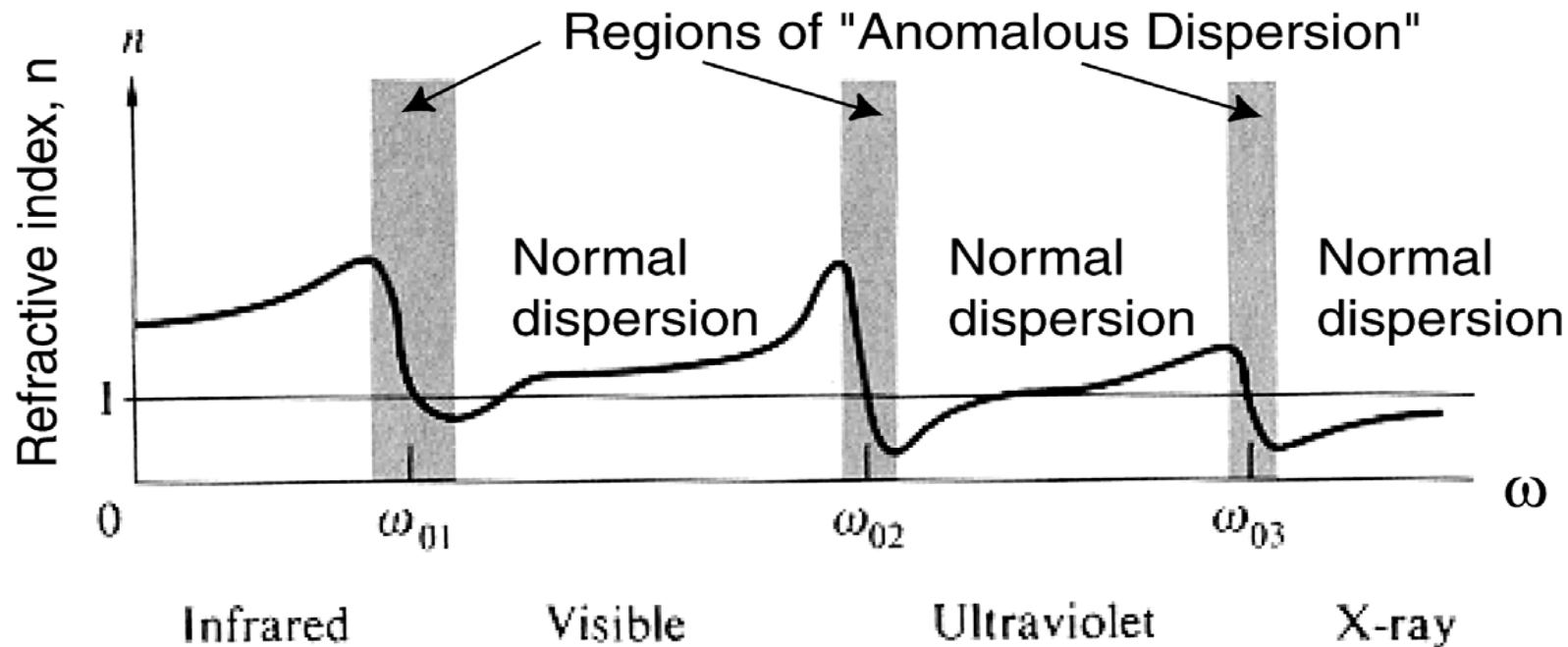
# Dielectric function of a system of atoms



For high frequencies  $\epsilon \rightarrow 1$

Picture from Wikipedia

# Refractive index of a system of atoms



Picture by R. Trebino

## Anomalous dispersion: negative slope

Electronic resonances usually occur in the UV; vibrational and rotational resonances occur in the IR; inner-shell electronic resonances occur in the x-ray region

# Electric response of metals?

Forces acting on a free electron:

$$m_e \frac{d^2 \mathbf{r}}{dt^2} = \mathbf{F}_{\text{damping}} + \mathbf{F}_{\text{restoring}} + \mathbf{F}_{\text{external}}$$

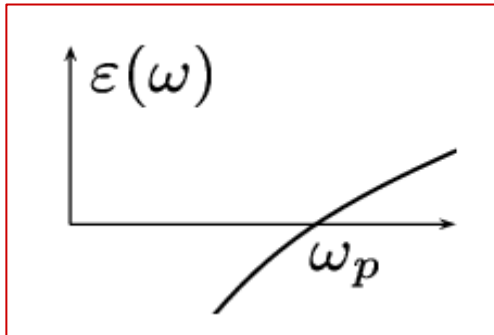
$\parallel$   
 $-m_e \omega_0^2 \mathbf{r}$

With same  
procedure as for  
bind electrons



$$\varepsilon = \varepsilon_0 \left( 1 - \frac{\omega_p^2}{\omega^2 + i\omega\gamma} \right)$$

**Drude-type permittivity**



$$\omega_p^2 = \frac{ne^2}{\varepsilon_0 m_e}$$

**Plasma frequency**

**Conductivity  $\sigma$ :**

$$\varepsilon = \varepsilon_0 + i \frac{\sigma}{\omega} \Rightarrow \sigma = \frac{\omega_p^2}{\gamma - i\omega}$$

## Constitutive relations – Materials classification

$$\mathbf{D}(\omega) = \varepsilon(\omega)\mathbf{E}(\omega)$$

$$\mathbf{B}(\omega) = \mu(\omega)\mathbf{H}(\omega)$$

??

**Only for isotropic media**

# Constitutive relations – Materials classification

The most general relations

$$\mathbf{D} = \vec{\epsilon} \mathbf{E} + \vec{\xi} \mathbf{H}$$

$$\mathbf{B} = \vec{\zeta} \mathbf{E} + \vec{\mu} \mathbf{H}$$

In matrix form

$$\begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} \vec{\epsilon} & \vec{\xi} \\ \vec{\zeta} & \vec{\mu} \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$$

$\vec{\epsilon}, \vec{\mu}, \vec{\xi}, \vec{\zeta}$   $3 \times 3$  matrices, i.e. up to 36 independent parameters

$$\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \epsilon_{xx} & \epsilon_{xy} & \epsilon_{xz} \\ \epsilon_{yx} & \epsilon_{yy} & \epsilon_{yz} \\ \epsilon_{zx} & \epsilon_{zy} & \epsilon_{zz} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix} + \begin{pmatrix} \xi_{xx} & \xi_{xy} & \xi_{xz} \\ \xi_{yx} & \xi_{yy} & \xi_{yz} \\ \xi_{zx} & \xi_{zy} & \xi_{zz} \end{pmatrix} \begin{pmatrix} H_x \\ H_y \\ H_z \end{pmatrix}$$

**Magnetolectric coupling**

(coupling of electric and magnetic response)

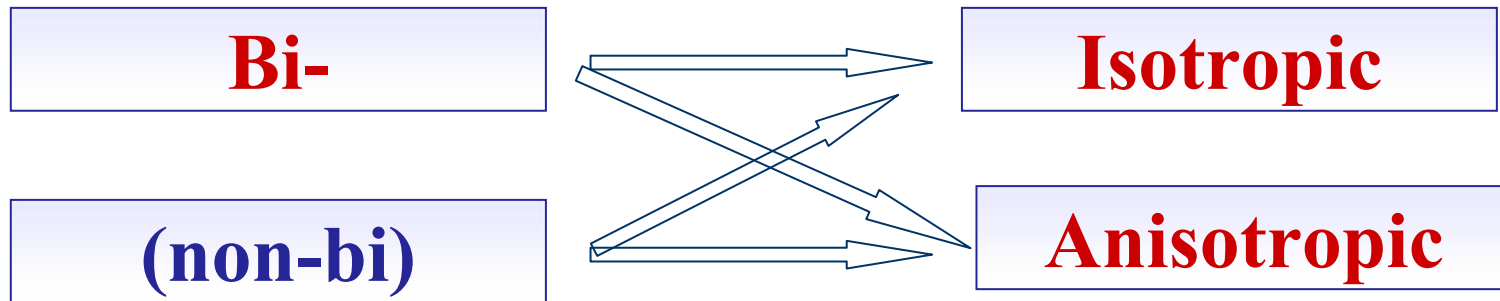
Such a medium is a **Bianisotropic medium**

**Bi** = each flux depends on both (two) fields

**Anisotropic** = at least one of the parameters is tensor

# Constitutive relations – Materials classification

In general a medium can be



**Bi-**

$$\mathbf{D} = \vec{\varepsilon}\mathbf{E} + \vec{\xi}\mathbf{H}$$

$$\mathbf{B} = \vec{\zeta}\mathbf{E} + \vec{\mu}\mathbf{H}$$

**(non-bi)**

$$\mathbf{D} = \vec{\varepsilon}\mathbf{E}$$

$$\mathbf{B} = \vec{\mu}\mathbf{H}$$

## Isotropic medium

**ALL** the parameters  $\varepsilon, \mu$  are **diagonal tensors of the form**

$$\vec{\varepsilon} = \begin{pmatrix} \varepsilon & 0 & 0 \\ 0 & \varepsilon & 0 \\ 0 & 0 & \varepsilon \end{pmatrix} \quad \underline{\text{AND}} \quad \vec{\mu} = \begin{pmatrix} \mu & 0 & 0 \\ 0 & \mu & 0 \\ 0 & 0 & \mu \end{pmatrix}$$

Thus

$$\begin{array}{ccc} \mathbf{D} = \vec{\varepsilon} \mathbf{E} & \longrightarrow & \mathbf{D} = \varepsilon \mathbf{E} \\ \mathbf{B} = \vec{\mu} \mathbf{H} & & \mathbf{B} = \mu \mathbf{H} \end{array}$$

i.e. **D** and **E** **parallel** and of the **same ratio** independently of the direction of wave propagation – same for **B** and **H**

**Greek: isos=equal, tropos=way, i.e. isotropic=behave in equal way for all directions**

# Anisotropic medium

**At least one** of the parameters  $\varepsilon$ ,  $\mu$  (and  $\xi$ ,  $\zeta$  if non zero) is tensor

**E.g.**  $\mathbf{D} = \vec{\varepsilon}\mathbf{E} \Leftrightarrow \begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \varepsilon_{xx} & \varepsilon_{xy} & \varepsilon_{xz} \\ \varepsilon_{yx} & \varepsilon_{yy} & \varepsilon_{yz} \\ \varepsilon_{zx} & \varepsilon_{zy} & \varepsilon_{zz} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}$

**i.e. D and E not parallel and their relation depends on the direction**

**Often  $\varepsilon$  or  $\mu$  are diagonal, i.e.**  $\begin{pmatrix} D_x \\ D_y \\ D_z \end{pmatrix} = \begin{pmatrix} \varepsilon_{xx} & 0 & 0 \\ 0 & \varepsilon_{yy} & 0 \\ 0 & 0 & \varepsilon_{zz} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_z \end{pmatrix}$

**Greek: anisos=unequal, tropos=way**

# Constitutive relations – Materials classification

In general a medium can be

$$\mathbf{D} = \vec{\varepsilon}\mathbf{E} + \vec{\xi}\mathbf{H}$$

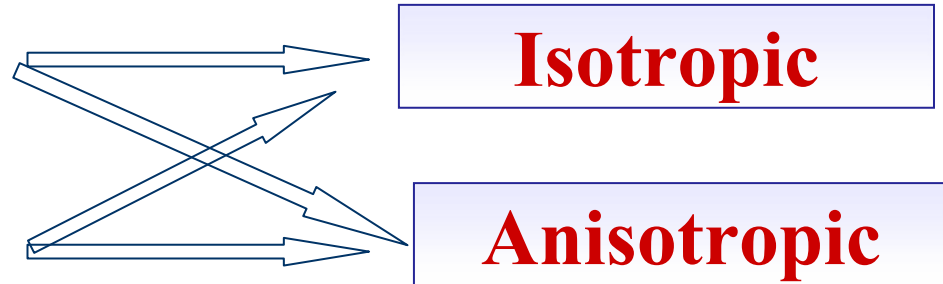
$$\mathbf{B} = \vec{\zeta}\mathbf{E} + \vec{\mu}\mathbf{H}$$

**Bi-**

$$\mathbf{D} = \vec{\varepsilon}\mathbf{E}$$

$$\mathbf{B} = \vec{\mu}\mathbf{H}$$

**(non-bi)**



Independent parameters required for the knowledge of the material:

**Bianisotropic: up to 36**

**Biisotropic: 4**

**Anisotropic: up to 18**

**Isotropic: 2**

**Causality requires\*:**

$$\vec{\varepsilon}(\omega)^* = \vec{\varepsilon}(-\omega^*), \quad \vec{\mu}(\omega)^* = \vec{\mu}(-\omega^*)$$

Same for  $\vec{\xi}, \vec{\zeta}$

\*Since e.g.  $\varepsilon(\omega) = \int_0^{\infty} \varepsilon(t)e^{-i\omega t} dt$

# Subclasses of anisotropic media: Uniaxial

**Uniaxial**  $\vec{\varepsilon} = \begin{pmatrix} \varepsilon & 0 & 0 \\ 0 & \varepsilon & 0 \\ 0 & 0 & \varepsilon_z \end{pmatrix}$

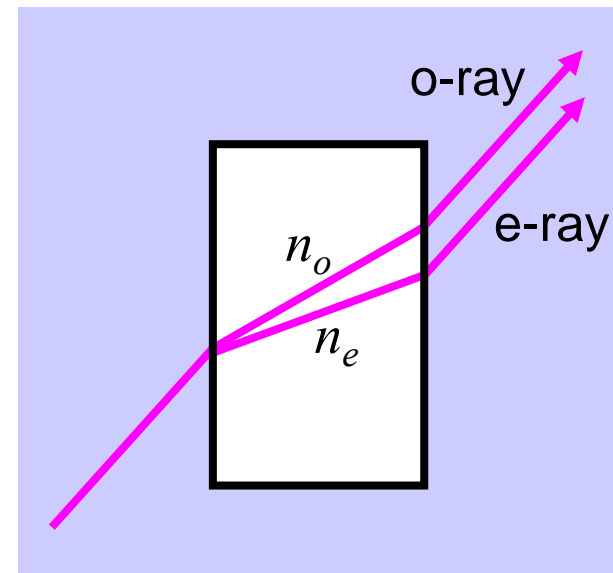
**z: optical axis**

e.g. some crystals

## Birefringence effect:

**Two refractive indices:** One for light polarized along the optic axis ( $n_e$ ) and another for light polarized in either of the two directions ( $n_o$ )

Light polarized along the optic axis is called the **extraordinary ray**, and light polarized perpendicular to it is called the **ordinary ray**



Picture by R. Trebino

# Subclasses of anisotropic media

**Gyroelectric**  $\vec{\varepsilon} = \begin{pmatrix} \varepsilon & i\varepsilon_g & 0 \\ -i\varepsilon_g & \varepsilon & 0 \\ 0 & 0 & \varepsilon_z \end{pmatrix}$  e.g. electron plasma in magnetic field  $B_z$

**Gyromagnetic**  $\vec{\mu} = \begin{pmatrix} \mu & i\mu_g & 0 \\ -i\mu_g & \mu & 0 \\ 0 & 0 & \mu_z \end{pmatrix}$  e.g. ferrites in magnetic field  $B_z$

**Greek: gyro=around**

## Subclasses of biisotropic media: Chiral

Chiral media (no identical with their mirror images)

$$\mathbf{D} = \varepsilon\mathbf{E} + i\kappa\mathbf{H}$$

e.g. DNA

Greek: **cheri=hand**

$$\mathbf{B} = -i\kappa\mathbf{E} + \mu\mathbf{H}$$

$\kappa$ =Chirality parameter

In matrix form:

$$\begin{pmatrix} \mathbf{D} \\ \mathbf{B} \end{pmatrix} = \begin{pmatrix} \varepsilon & i\kappa \\ -i\kappa & \mu \end{pmatrix} \begin{pmatrix} \mathbf{E} \\ \mathbf{H} \end{pmatrix}$$

Chiral media have different refractive indices for right and left circularly polarized waves

## Extreme idealized media

- Perfect electric conductor (PEC)
- Perfect magnetic conductor (PMC)
- Perfect electromagnetic conductor (PEMC)

**PEC**

$$\varepsilon \rightarrow \infty, \mu \rightarrow 0$$

**then**

$$\sigma \rightarrow \infty, z = \sqrt{\frac{\mu}{\varepsilon}} \rightarrow 0$$

**Small impedance**

**PMC**

$$\varepsilon \rightarrow 0, \mu \rightarrow \infty$$

**then**

$$z = \sqrt{\frac{\mu}{\varepsilon}} \rightarrow \infty$$

**“Infinite” impedance**

# Main references used for this talk

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- J. A. Kong, *Electromagnetic Wave Theory*, EMW Publishing

## Internet lectures by

- Martin Savage, Univ. of Washington, [savage@phys.washington.edu](mailto:savage@phys.washington.edu)  
([http://www.phys.washington.edu/users/savage/Class\\_122\\_07/122schedSPR07.html](http://www.phys.washington.edu/users/savage/Class_122_07/122schedSPR07.html))
- Rick Trebino, Georgia Inst. of Technology, [rick.trebino@physics.gatech.edu](mailto:rick.trebino@physics.gatech.edu)  
(<http://www.physics.gatech.edu/gcuo/lectures/>)
- Stefan Linden & Martin Wegener, Univ. of Karlsruhe, [stefan.linden@physik.uni-karlsruhe.de](mailto:stefan.linden@physik.uni-karlsruhe.de)  
(private commun.)
- Vladimir Shalaev, Purdue Univ., [shalaev@purdue.edu](mailto:shalaev@purdue.edu)  
(<http://www.nanohub.org/courses/nanophotonics/>)
- Sergei Tretyakov and Ari Shivola, Helsinki Univ. of Technology, [sergei.tretyakov@tkk.fi](mailto:sergei.tretyakov@tkk.fi)  
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**Thank you !!**