
Cold Atoms in Optical Lattices:

A versatile system to explore
few- and many-body physics
in periodic potentials

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Outline

- The Hubbard model for atoms
 - *Optical dipole potential*
 - *Cold bosonic atoms in a tight-binding periodic potential*
 - *The second quantized Hamiltonian*

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 - *Tilted lattice: Wannier-Stark ladder*
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 - *Scattering states*
 - *Bound states: Effective dimers*
 - *Three-atom states: Bound trimers*

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 - *Three-atom states: Bound trimers*
- Many body physics of the Hubbard model
 - *Superfluid and Mott-Insulating phases for bosons*
 - *Metal and Insulating phases for fermions*

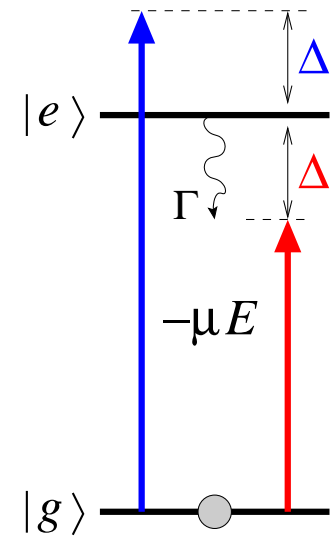
The Hubbard model for atoms

Optical dipole potential

Atom with $|g\rangle \leftrightarrow |e\rangle$ frequency ω_{eg} in laser field $E = E_0 e^{-i\omega t}$

Dipole coupling $-\mu E$ (with μ el.-dip. matrix element for $|g\rangle \leftrightarrow |e\rangle$)

\Rightarrow detuning $\Delta = \omega - \omega_{eg}$ recoil energy $E_r = \frac{\hbar^2 k^2}{2M}$



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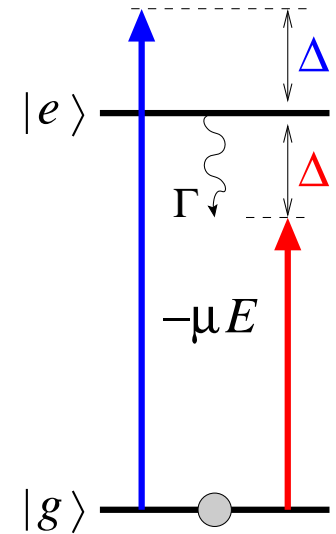
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$|\Delta| \gg \mu E_0 / \hbar, \Gamma \Rightarrow |g\rangle \rightarrow |e\rangle$ non-resonant

Second-order perturbation theory \Rightarrow ac Stark shift of $|g\rangle$

$$V_{\text{dip}}(\mathbf{r}) = \frac{|\mu E(\mathbf{r})|^2}{\hbar \Delta} = -\frac{1}{2} \alpha(\omega) I(\mathbf{r}) \quad \text{with polarizability } \alpha(\omega) = \frac{3\pi c^2}{\omega_{eg}^3} \frac{\Gamma}{\omega_{eg} - \omega}$$

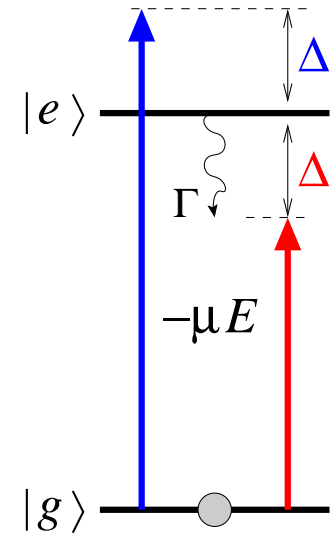


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- Blue detuned laser $\Delta > 0 \Rightarrow V_{\text{dip}}(\mathbf{r}) > 0$: low-field seeking atoms
- Red detuned laser $\Delta < 0 \Rightarrow V_{\text{dip}}(\mathbf{r}) < 0$: high-field seeking atoms

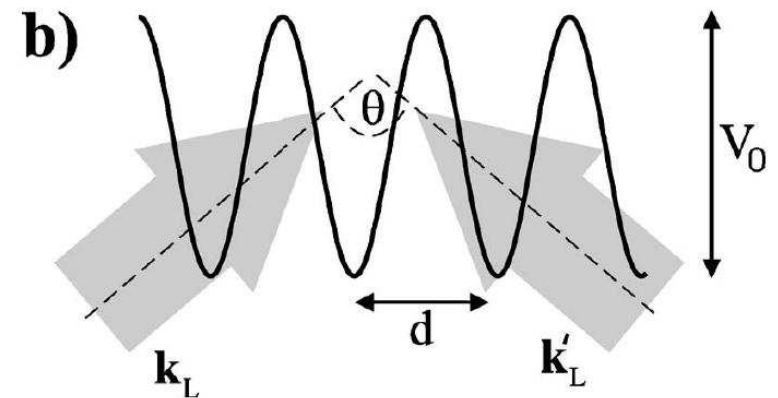
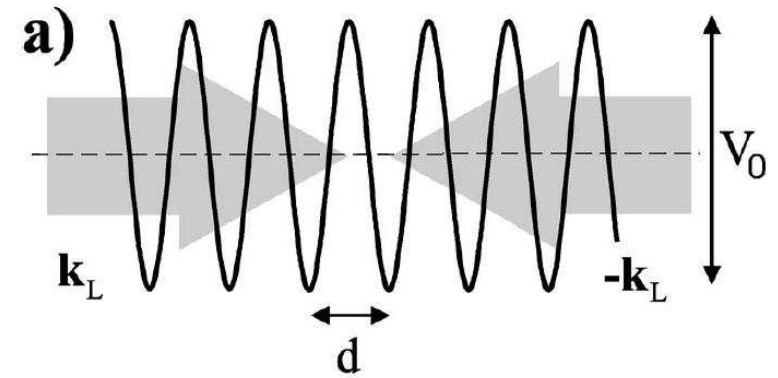
Optical lattice potential

1D Optical lattice

$$V_{\text{OL}}(x) = V_0 \sin^2\left(\frac{\pi}{d}x\right)$$

with

$$V_0 = sE_r \quad \& \quad d = \frac{\pi}{k_L^x} \quad [k_L^x = k_L \sin(\frac{\theta}{2})]$$



[from: Morsch, Oberthaler, RMP 78, 179 (2006)]

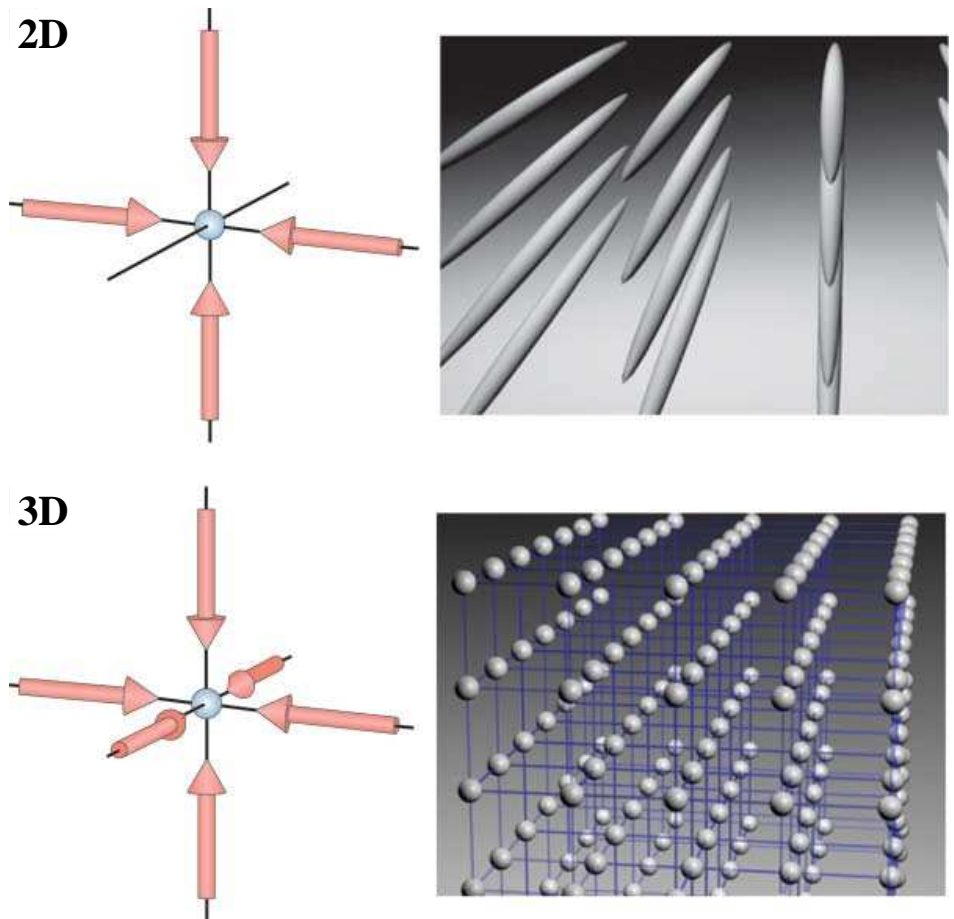
Optical lattice potential

2D & 3D Optical lattice

$$V_{\text{OL}}(\mathbf{r}) = \sum_{\xi=x,y,(z)} V_{0\xi} \sin^2\left(\frac{\pi}{d}\xi\right)$$

with

$$V_{0\xi} = s_{\xi} E_r$$



[from: Bloch, Dalibard, Zwerger, RMP 80, 885 (2008)]

Atom in a lattice

Stationary Schrödinger equation (1D)

$$\left[-\frac{\hbar^2}{2M} \frac{\partial^2}{\partial x^2} + V_{\text{OL}}(x) \right] \psi(x) = E\psi(x) \quad V_{\text{OL}}(x) = V_{\text{OL}}(x + d) \text{ – periodic}$$

Atom in a lattice

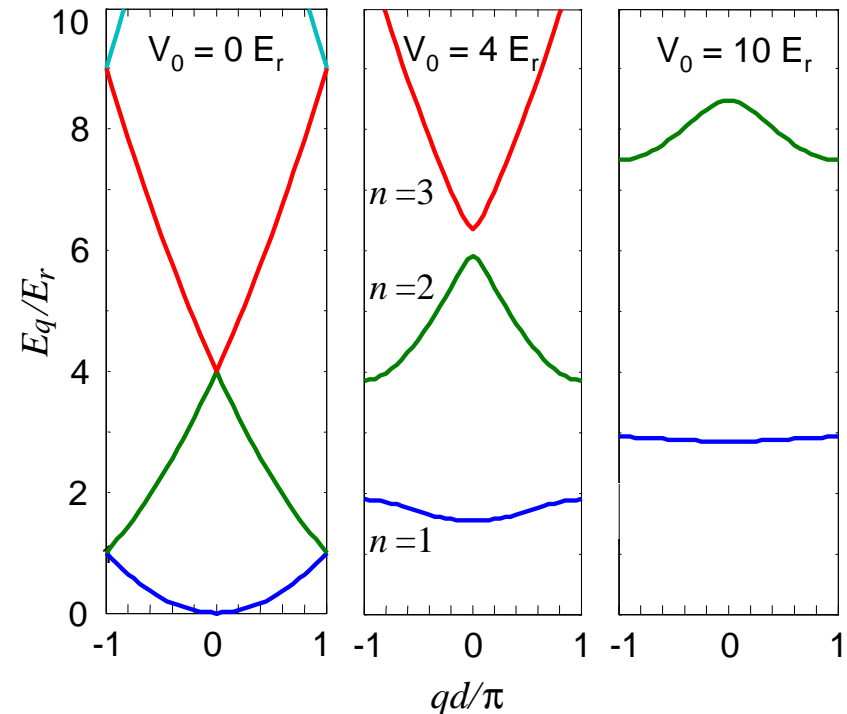
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⇒ **Bloch theorem:** $\psi(x) = \psi_{n,q}(x)$ are Bloch functions (“plane-waves”)

$\psi_{n,q}(x) = e^{iqx} u_{n,q}(x)$ with $E_{n,q}$ energy, $q \in [-\frac{\pi}{d}, \frac{\pi}{d}]$ quasimomentum

$$[u_{n,q}(x) = u_{n,q}(x + d)]$$



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Change of basis (Fourier transform)

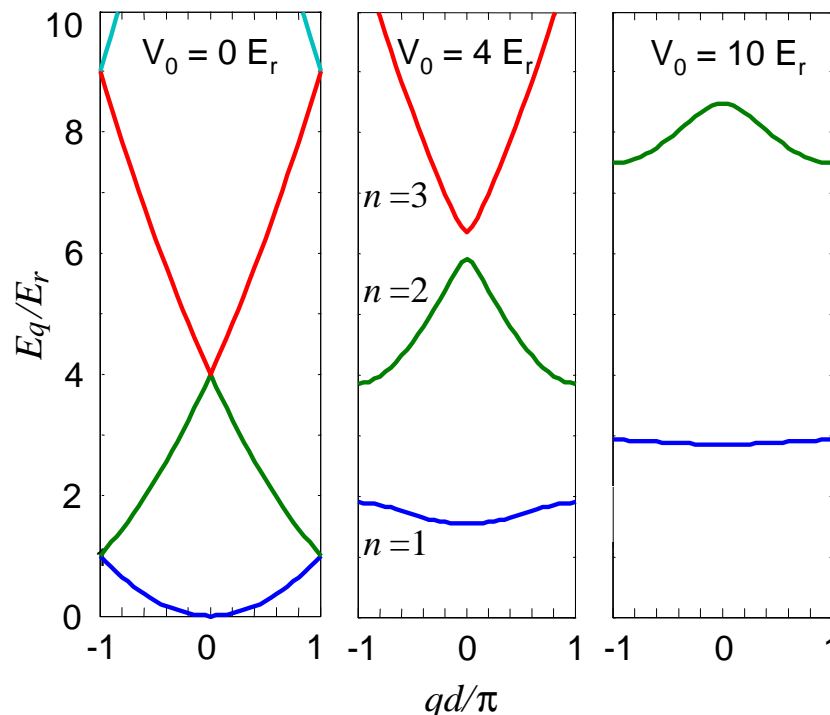
Bloch functions (“plane waves”)

$$\psi_{n,q}(x) = \sum_j w_{n,j}(x) e^{iqdj}$$

Wannier functions (“localized” $\sim dj = x_j$)

$$w_{n,j}(x) = w_n(x - dj)$$

$$[w_n(x - x_j) = \frac{1}{2\pi} \int dq e^{-ix_j q} \psi_{n,q}(x)]$$

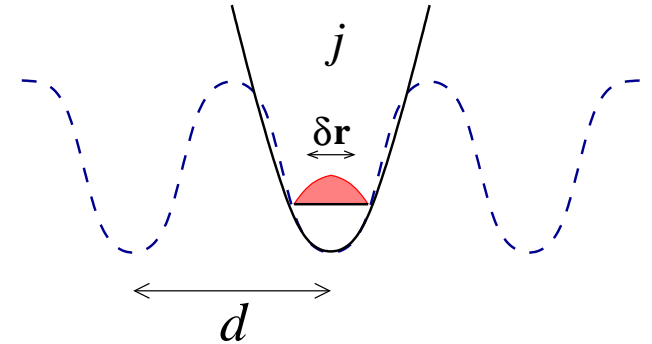


Atom in a nearly-harmonic potential

Deep OL potential $V_0 \gg E_r$ ($s \gg 1$) **and** $k_B T \ll \hbar \nu$ (**lowest BB** $n = 1$)

$$\left[-\frac{\hbar^2}{2M} \nabla^2 + V(\mathbf{r} \sim \mathbf{r}_j) \right] \phi(\mathbf{r}) = \hbar \nu \phi(\mathbf{r}),$$

$$V(\mathbf{r} \sim \mathbf{r}_j) \simeq \frac{M\nu^2}{2} (\mathbf{r} - \mathbf{r}_j)^2 \quad \text{with} \quad \nu \simeq \sqrt{\frac{2V_0\pi^2}{Md^2}}$$



\Rightarrow Ground state of the harmonic oscillator

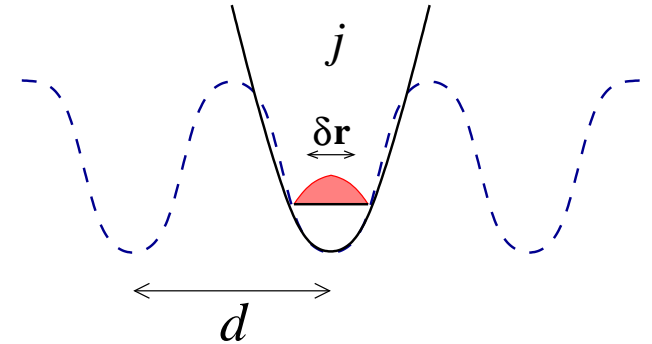
$$\phi(\mathbf{r} \sim \mathbf{r}_j) = \left(\frac{1}{\pi \delta r^2} \right)^{3/4} \exp \left[-\frac{(\mathbf{r} - \mathbf{r}_j)^2}{2\delta r^2} \right] \quad \text{with} \quad \delta r^2 \simeq \frac{\hbar}{M\nu}$$

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Localized Wannier function

$$w_j(\mathbf{r}) \simeq \phi(\mathbf{r} \sim \mathbf{r}_j)$$

(tight-binding approximation)

Hamiltonian for bosonic atoms

Boson field operator $\hat{\psi}(\mathbf{r})$: $\int d^3r \hat{\psi}^\dagger(\mathbf{r})\hat{\psi}(\mathbf{r}) = \hat{N}$, $[\hat{\psi}(\mathbf{r}), \hat{\psi}^\dagger(\mathbf{r}')] = \delta(\mathbf{r} - \mathbf{r}')$

Hamiltonian

$$H = \int d^3r \hat{\psi}^\dagger(\mathbf{r}) \left[-\frac{\hbar^2}{2M} \nabla^2 + V_{\text{ext}}(\mathbf{r}) \right] \hat{\psi}(\mathbf{r}) + \frac{g}{2} \int d^3r \hat{\psi}^\dagger(\mathbf{r})\hat{\psi}^\dagger(\mathbf{r})\hat{\psi}(\mathbf{r})\hat{\psi}(\mathbf{r})$$

with $V_{\text{ext}}(\mathbf{r}) = V_{\text{OL}}(\mathbf{r}) + V_{\text{T}}(\mathbf{r})$ & $g = \frac{4\pi a_s \hbar^2}{M}$ (a_s : s -wave scattering length)

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Second quantization

$$\hat{\psi}(\mathbf{r}) = \sum_j \hat{b}_j w_j(\mathbf{r})$$

with

\hat{b}_j (\hat{b}_j^\dagger): boson annihilation (creation) operator at site j ($[\hat{b}_j, \hat{b}_{j'}^\dagger] = \delta_{jj'}$)

$\hat{b}_j^\dagger \hat{b}_j \equiv \hat{n}_j$: number operator at site j



Bose-Hubbard (second quantized) Hamiltonian

Neutral atoms in deep optical lattice

$$H = \sum_j \varepsilon_j \hat{n}_j - J \sum_{\langle j,i \rangle} \hat{b}_j^\dagger \hat{b}_i + \frac{U}{2} \sum_j \hat{n}_j (\hat{n}_j - 1)$$

with

Single-particle energy

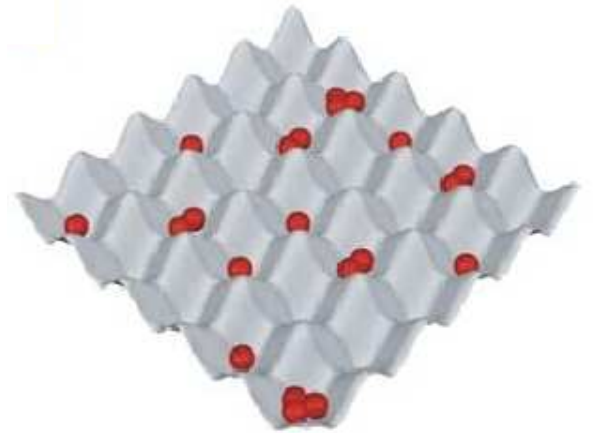
$$\varepsilon_j = \int d^3r V_T(\mathbf{r}) |w_j(\mathbf{r})|^2 \simeq V_T(\mathbf{r}_j)$$

Tunneling (hopping) between i & j

$$J = \int d^3r w_i^*(\mathbf{r}) \left[-\frac{\hbar^2 \nabla^2}{2M} + V_{OL}(\mathbf{r}) \right] w_j(\mathbf{r}) \simeq \frac{4}{\sqrt{\pi}} E_r s^{3/4} e^{-2\sqrt{s}}$$

On-site interaction ($U > 0$ repulsion; $U < 0$ attraction)

$$U = g \int d^3r |w_j(\mathbf{r})|^4 \simeq \frac{4\pi a_s \hbar^2}{M} \left(\frac{1}{\sqrt{2\pi} \delta r^3} \right)^3$$



Single atom in a lattice

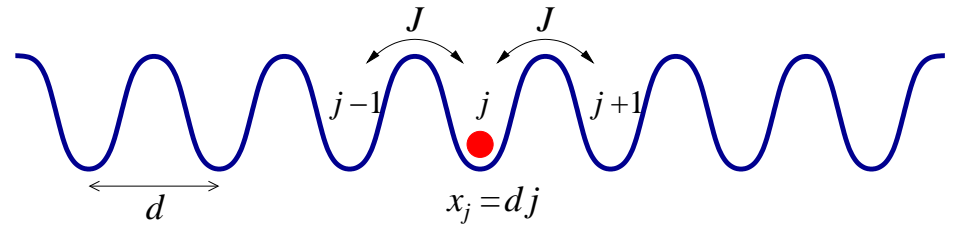
Single particle in homogeneous lattice (1D)

Hamiltonian ($\varepsilon_j = 0$)

$$H = -J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|)$$

State vector

$$|\psi\rangle = \sum_j \psi(x_j) |x_j\rangle$$



$$\boxed{H |\psi\rangle = E^{(1)} |\psi\rangle} \Rightarrow \text{Difference equation}$$

$$-J [\psi(x_{j-1}) + \psi(x_{j+1})] = E^{(1)} \psi(x_j)$$

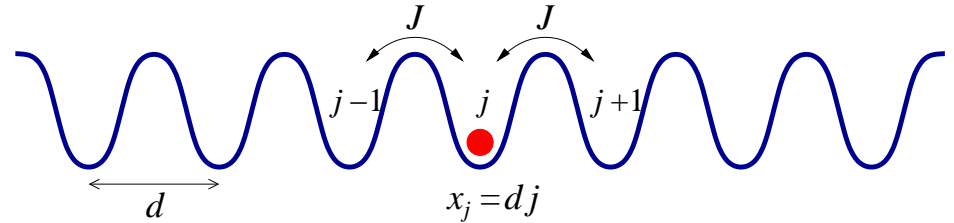
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Solution [infinite lattice (PBC)]

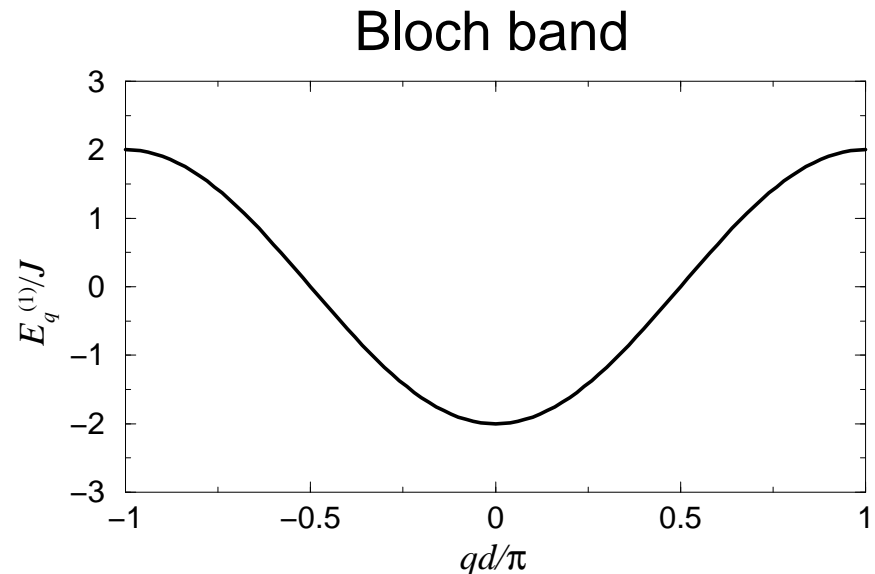
$$\psi_q(x_j) = e^{iqx_j}$$

$$\Rightarrow |\psi_q\rangle = \sum_j e^{iqx_j} |x_j\rangle$$

Dispersion relation [$q \in [-\frac{\pi}{d}, \frac{\pi}{d}]$]

$$E_q^{(1)} = -2J \cos(qd)$$

$$E_q^{(1)} \in [-2J, 2J]$$



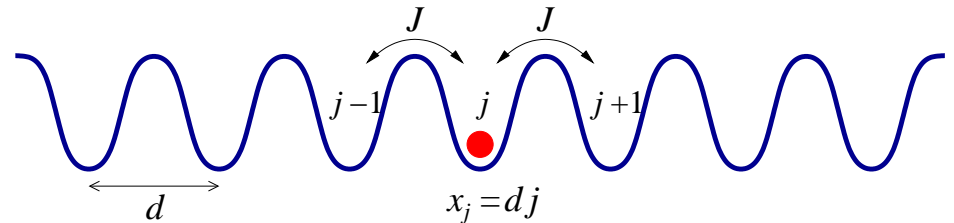
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Solution [finite lattice (N sites)]

$$\psi_k(x_j) = \sin \left[\frac{\pi(k+1)j}{N+1} \right]$$

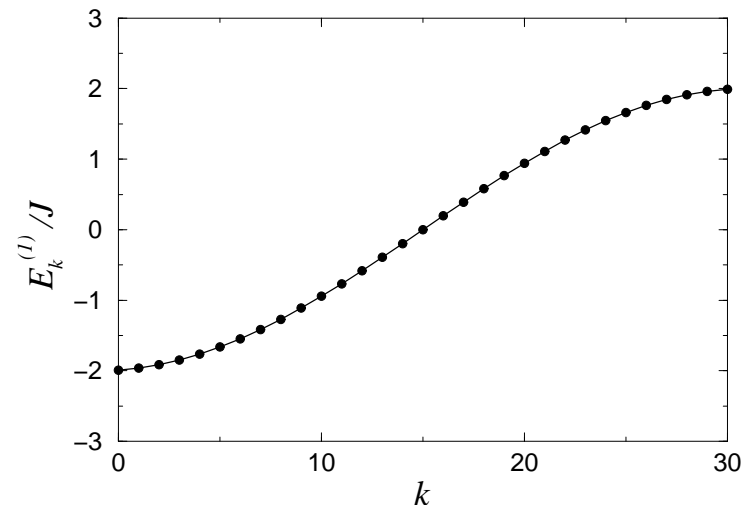
$$\Rightarrow |\psi_k\rangle = \sqrt{\frac{2}{N+1}} \sum_{j=1}^N \sin \left[\frac{\pi(k+1)j}{N+1} \right] |x_j\rangle$$

Energy eigenvalues [$0 \leq k < N$]

$$E_k^{(1)} = -2J \cos \left[\frac{\pi(k+1)}{N+1} \right]$$

$$\lim_{N \rightarrow \infty} E_k^{(1)} = E_q^{(1)}$$

Bloch band ($N = 31$)



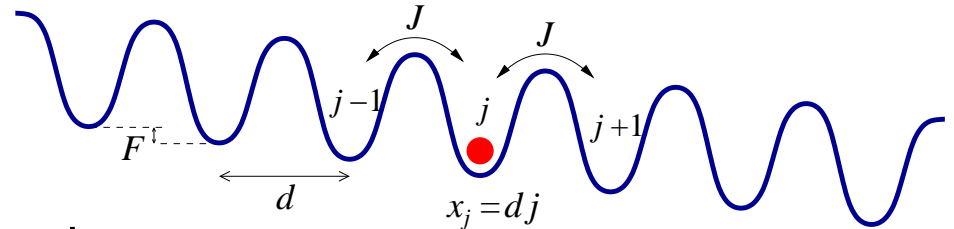
Single particle in tilted lattice (1D)

Hamiltonian ($\varepsilon_j = Fj$)

$$H = F \sum_j j |x_j\rangle\langle x_j| - J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|)$$

State vector

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$$\boxed{H |\psi\rangle = E^{(1)} |\psi\rangle} \Rightarrow \text{Difference equation}$$

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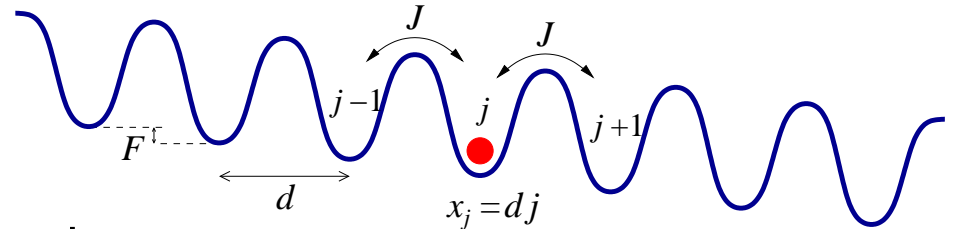
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Solution

$$\psi_k(x_j) = \mathcal{J}_{j-k}\left(\frac{J}{2F}\right)$$

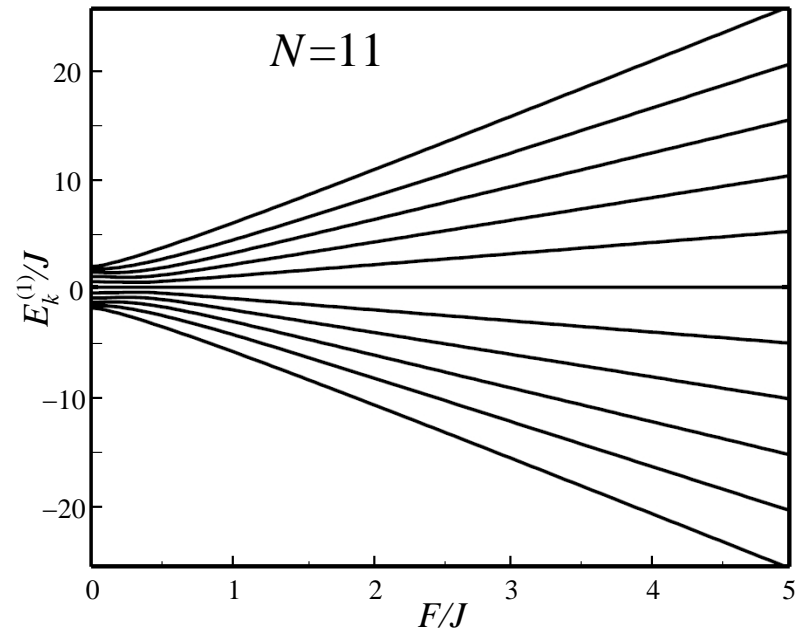
$$\Rightarrow |\psi_k\rangle = \sum_j \mathcal{J}_{j-k}\left(\frac{J}{2F}\right) |x_j\rangle \xrightarrow{F \gg J} |x_k\rangle$$

Energy eigenvalues [$k \in \mathbb{Z}$]

$$E_k^{(1)} \xrightarrow{F \neq 0} Fk \quad \text{Stark ladder}$$

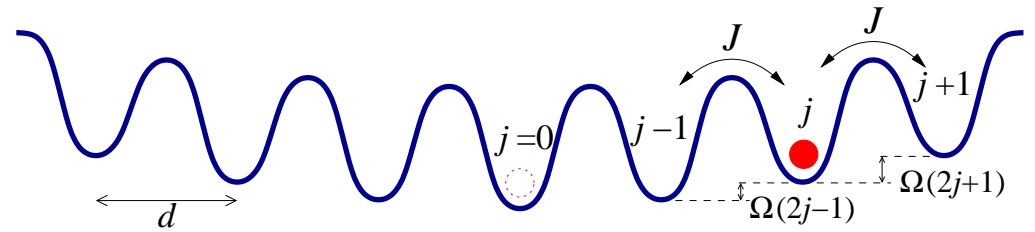
$$\Rightarrow \tau_B = \frac{2\pi\hbar}{F} \quad \text{period of Bloch oscillations}$$

Bloch band \rightarrow Stark ladder



Single particle in lattice & parabolic potential (1D)

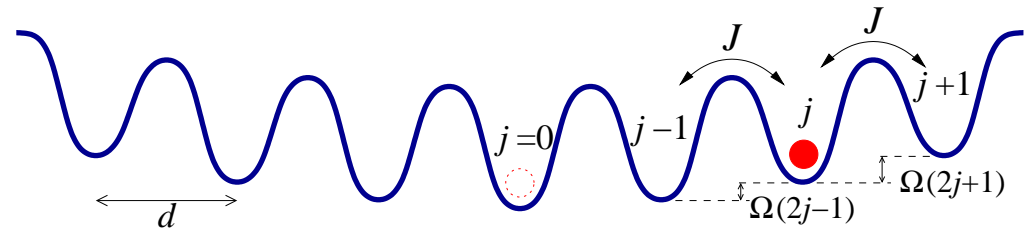
Hamiltonian ($\varepsilon_j = \Omega j^2$)



$$H = \Omega \sum_j j^2 |x_j\rangle\langle x_j| - J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|)$$

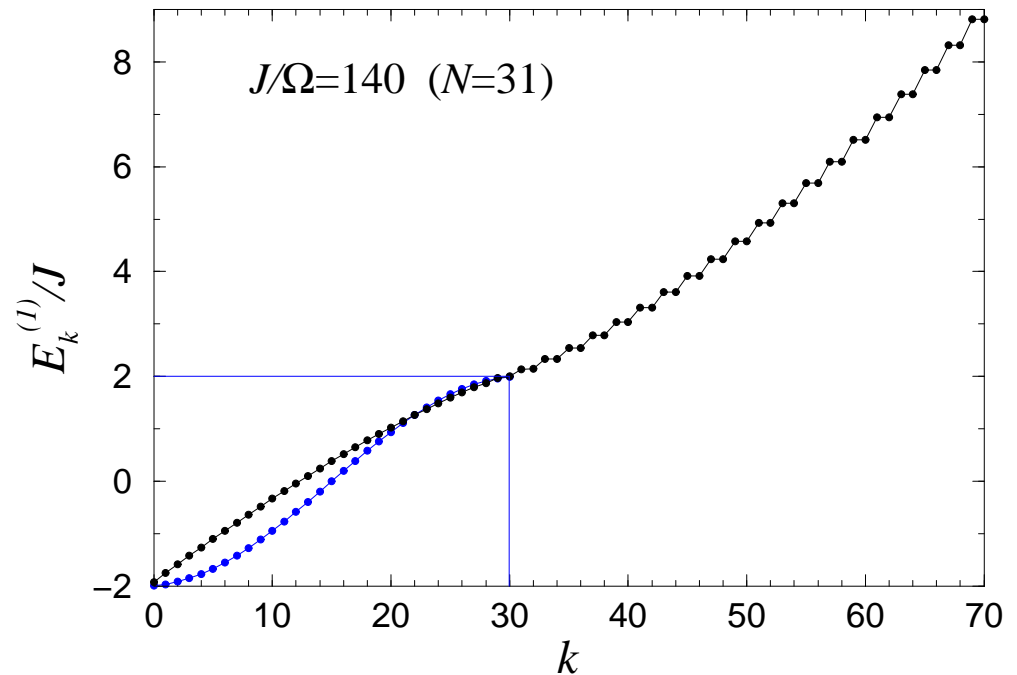
Single particle in lattice & parabolic potential (1D)

Hamiltonian ($\varepsilon_j = \Omega j^2$)



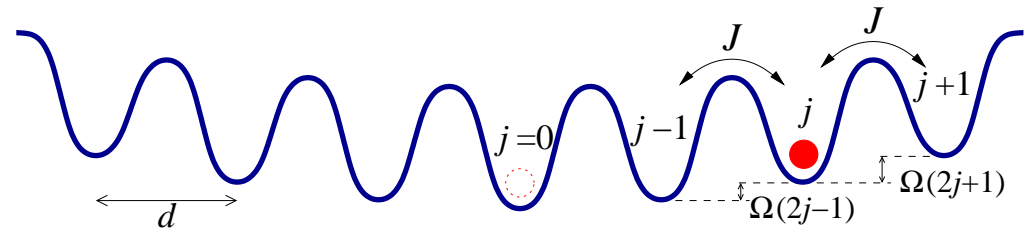
$$H = \Omega \sum_j j^2 |x_j\rangle\langle x_j| - J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|)$$

Spectrum of H :



Single particle in lattice & parabolic potential (1D)

Hamiltonian ($\varepsilon_j = \Omega j^2$)



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Spectrum of H :

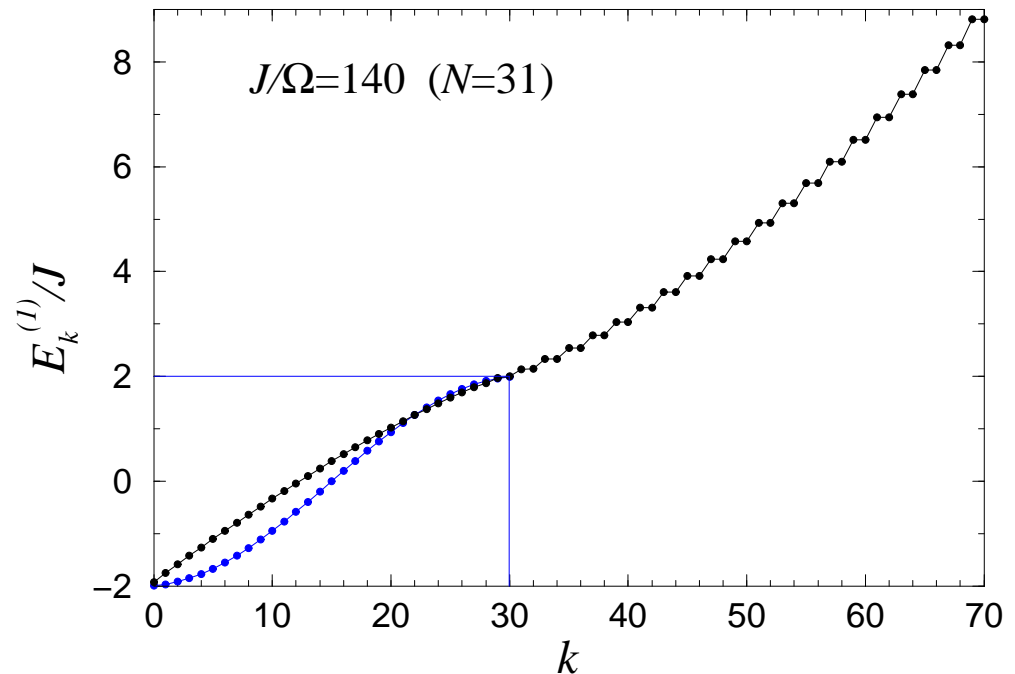
(i) Modified Bloch band

$$-2J \leq E_k^{(1)} \leq 2J$$

@ $j = 0, \pm 1, \dots$ with $\Omega j^2 < 2J$

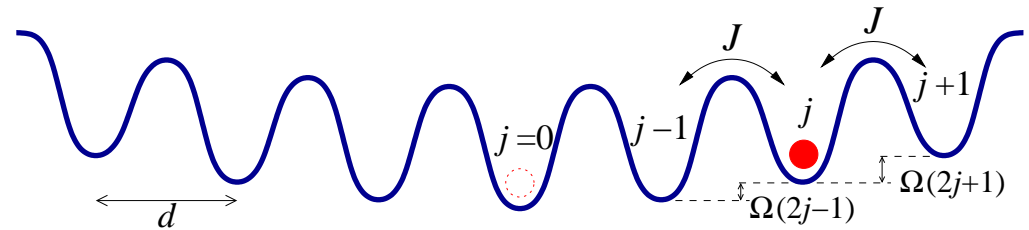
$$\Rightarrow E_0^{(1)}, E_1^{(1)}, \dots, E_{N-1}^{(1)}$$

$$N = 2\lfloor j_{\max} \rfloor + 1 \text{ with } j_{\max} \simeq 1.3\sqrt{\frac{J}{\Omega}}$$



Single particle in lattice & parabolic potential (1D)

Hamiltonian ($\varepsilon_j = \Omega j^2$)



$$H = \Omega \sum_j j^2 |x_j\rangle\langle x_j| - J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|)$$

Spectrum of H :

(ii) Localized states

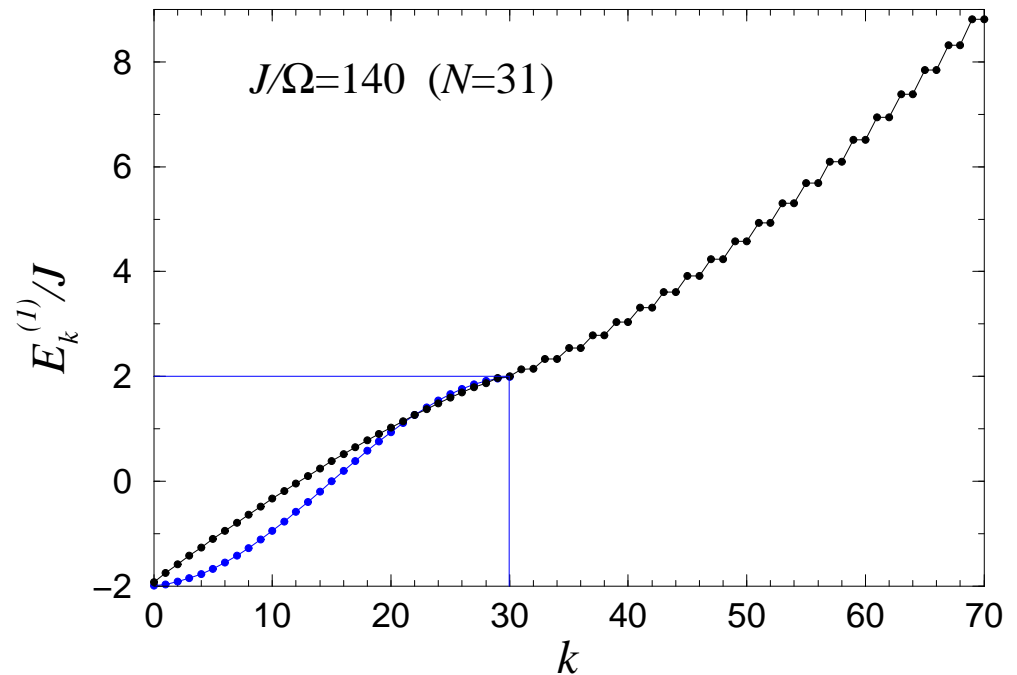
$$E_k^{(1)} > 2J \text{ for } k \geq N$$

$\Rightarrow E_{k,k'}^{(1)} \simeq \Omega j^2$ double-degenerate

$$|\psi_{k,k'}\rangle \simeq |x_{\pm|j}|\rangle$$

with ($|j| > j_{\max}$)

$$k = 2|j| + 1 \text{ \& } k' = 2|j| + 2$$



Discrete harmonic oscillator

- $E_0^{(1)}, E_1^{(1)}, \dots, E_{N-1}^{(1)}$ approx. linear (HO) spectrum:

$$E_k^{(1)} \approx -2J + \hbar\omega \left(k + \frac{1}{2}\right) \quad [\text{with } \hbar\omega = 2\sqrt{\Omega J}]$$

$$|\psi_k\rangle \approx \mathcal{N} \sum_j (2^k k!)^{-1/2} e^{-\zeta_j^2/2} H_k(\zeta_j) |1_j\rangle \quad [\text{with } \zeta_j = j \sqrt[4]{\Omega/J}]$$

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- $E_0^{(1)}, E_1^{(1)}, \dots, E_{N-1}^{(1)}$ approx. linear (HO) spectrum:

$$E_k^{(1)} \approx -2J + \hbar\omega \left(k + \frac{1}{2}\right) \quad [\text{with } \hbar\omega = 2\sqrt{\Omega J}]$$

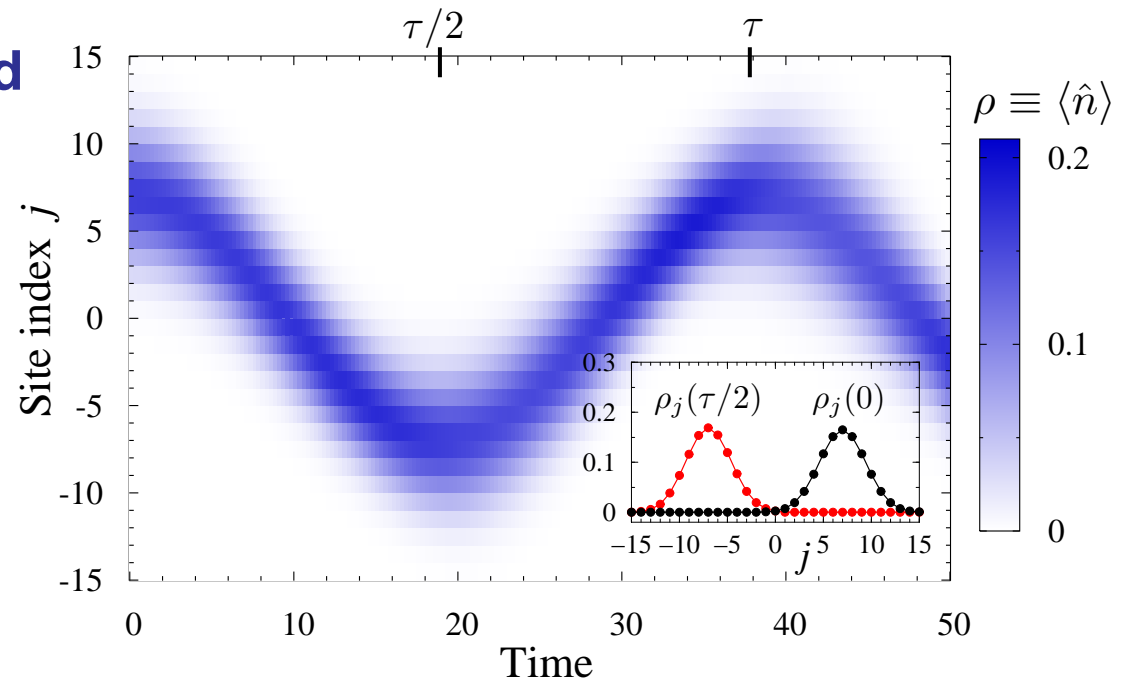
$$|\psi_k\rangle \approx \mathcal{N} \sum_j (2^k k!)^{-1/2} e^{-\zeta_j^2/2} H_k(\zeta_j) |1_j\rangle \quad [\text{with } \zeta_j = j \sqrt[4]{\Omega/J}]$$

WP oscillations with period

$$\tau \simeq \frac{2\pi}{\omega} = \frac{\pi\hbar}{J} \sqrt{\frac{J}{\Omega}}$$

Initial state

$$\begin{aligned} |\psi(t=0)\rangle &= |\psi_0^{(j'=7)}\rangle \\ &= \sqrt[8]{\frac{\Omega}{\pi^2 J}} \sum_j e^{-\zeta_j^2 - j'/2} |x_j\rangle \end{aligned}$$



Two bosonic atoms in a lattice

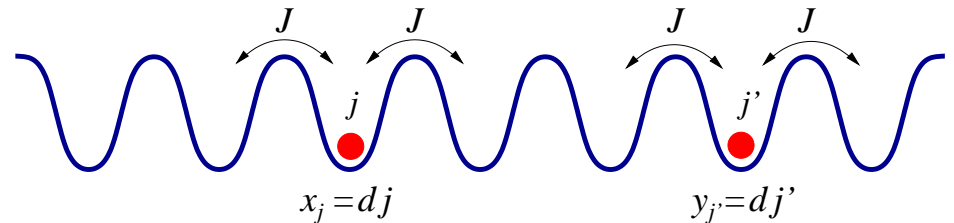
Two particles in Hubbard model (1D)

Hamiltonian ($\varepsilon_j = 0$)

$$H = -J \sum_j (|x_j\rangle\langle x_{j+1}| + |x_{j+1}\rangle\langle x_j|) - J \sum_j (|y_j\rangle\langle y_{j+1}| + |y_{j+1}\rangle\langle y_j|) \\ + U \sum_j |x_j, y_j\rangle\langle x_j, y_j|$$

State vector

$$|\Psi\rangle = \sum_{j,j'} \Psi(x_j, y_{j'}) |x_j, y_{j'}\rangle$$



$$\boxed{H |\psi\rangle = E^{(2)} |\psi\rangle} \Rightarrow \text{Recurrence relation}$$

$$-J [\Psi(x_{j-1}, y_{j'}) + \Psi(x_{j+1}, y_{j'}) + \Psi(x_j, y_{j'-1}) + \Psi(x_j, y_{j'+1})] \\ + U \delta_{jj'} \Psi(x_j, y_{j'}) = E^{(2)} \Psi(x_j, y_{j'})$$

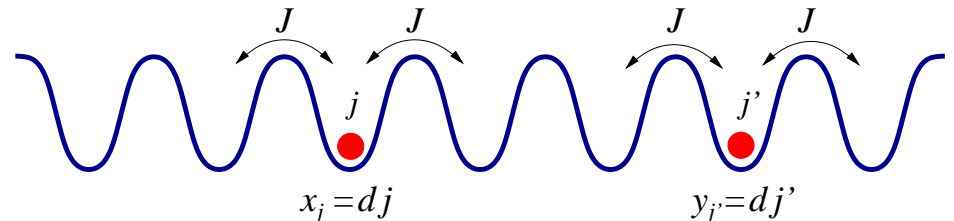
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$R = \frac{1}{2}(x + y)$ center of mass & $r = x - y$ relative coordinates \Rightarrow

Two-particle wavefunction (with K center-of-mass quasimomentum)

$$\Psi(x, y) = e^{iKR} \psi_K(r)$$

Recurrence relation (with $J_K \equiv 2J \cos(Kd/2)$ and $r_i = di$ ($i = j - j'$))

$$-J_K [\psi_K(r_{i-1}) + \psi_K(r_{i+1})] + U \delta_{r0} \psi_K(r_i) = E_K^{(2)} \psi_K(r_i)$$

Solution: Scattering states

Relative coordinate wavefunction

$$\psi_{K,k}(r_i) = \cos(k|r_i| + \delta_{K,k})$$

with $\delta_{K,k}$ scattering phase shift

$$\tan(\delta_{K,k}) = -\frac{U \csc(kd)}{4J \cos(Kd/2)}$$

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- $U \rightarrow 0$ [$\delta_{K,k} = 0$] $\Rightarrow \psi_{K,k}(r_i) = \cos(k|r_i|)$: noninteracting bosons
- $|U| \rightarrow \infty$ [$\delta_{K,k} = \frac{\pi}{2}$] $\Rightarrow \psi_{K,k}(r_i) = \sin(k|r_i|)$: “fermionized” bosons [$\psi_{K,k}(0) = 0$]

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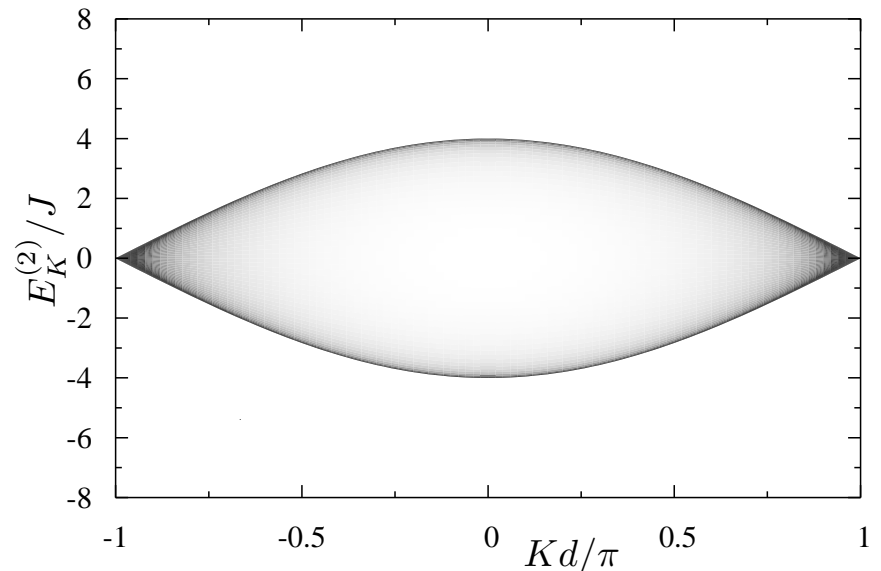
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Spectrum of scattering states

$$E_{K,k}^{(2)} = -4J \cos(Kd/2) \cos(kd)$$

Density of states

$$\rho(E, K) \propto \frac{1}{\sqrt{[4J \cos(Kd/2)]^2 - E^2}}$$



Solution: Interaction-bound states

Repulsive interaction $U > 0$

Relative coordinate wavefunction

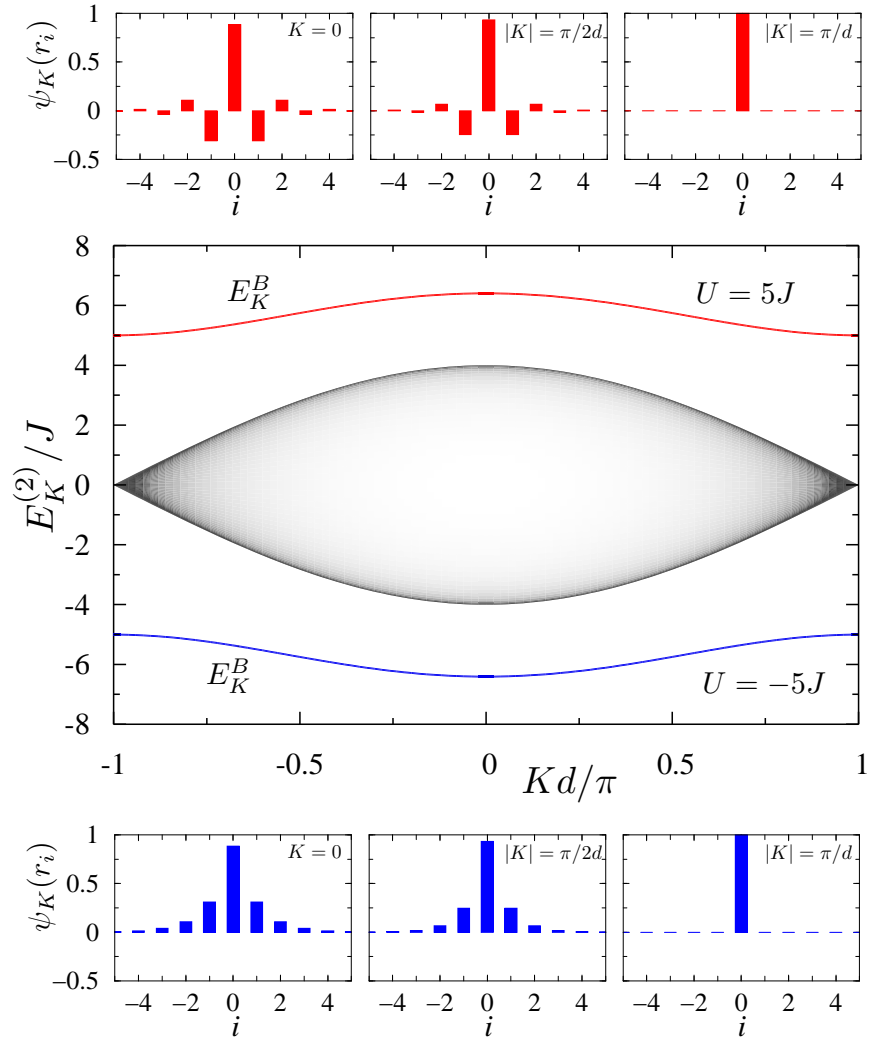
$$\psi_K(r_i) = \frac{\sqrt{\mathcal{U}_K}}{\sqrt[4]{\mathcal{U}_K^2 + 1}} \left(\mathcal{U}_K - \sqrt{\mathcal{U}_K^2 + 1} \right)^{|i|}$$

with $\mathcal{U}_K \equiv U/(2J_K)$ & $J_K \equiv 2J \cos(Kd/2)$

Dimer dispersion relation

$$E_K^B = \sqrt{U^2 + 4J_K^2} \Rightarrow$$

- $E_{\pi/d}^B = |U| = U$
- $E_0^B = \sqrt{U^2 + 16J^2}$



Solution: Interaction-bound states

Attractive interaction $U < 0$

Relative coordinate wavefunction

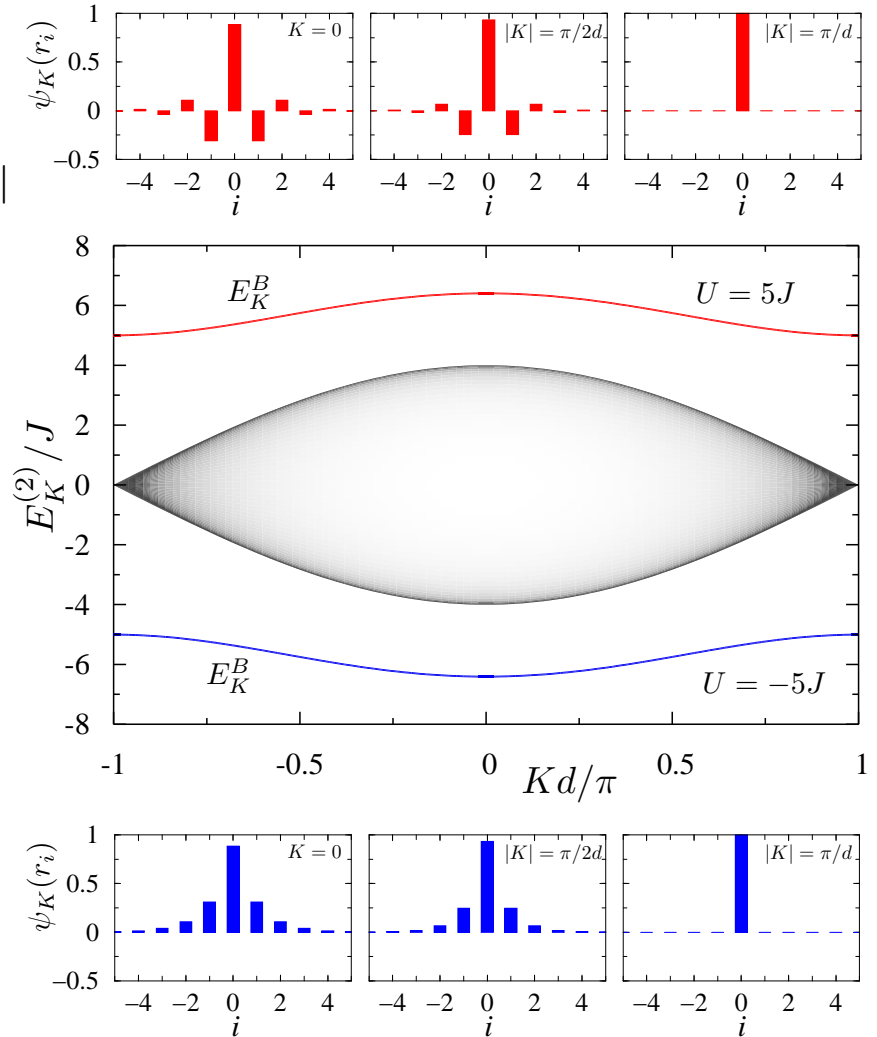
$$\psi_K(r_i) = \frac{\sqrt{U_K}}{\sqrt[4]{U_K^2 + 1}} \left(\sqrt{U_K^2 + 1} - |U_K| \right) |i|$$

with $U_K \equiv (U/2J_K)$ & $J_K \equiv 2J \cos(Kd/2)$

Dimer dispersion relation

$$E_K^B = -\sqrt{U^2 + 4J_K^2} \Rightarrow$$

- $E_0^B = -\sqrt{U^2 + 16J^2}$
- $E_{\pi/d}^B = -|U| = U$



Solution: Interaction-bound states

Strong interaction $|U| > J$

Relative coordinate wavefunction

$$\psi_K(r_i) \simeq \sqrt{\frac{U^2 - J_K^2}{U^2 + J_K^2}} \left(-\frac{J_K}{U}\right)^{|i|} \Rightarrow$$

localization length $\zeta \leq [2 \ln(U/2J)]^{-1}$

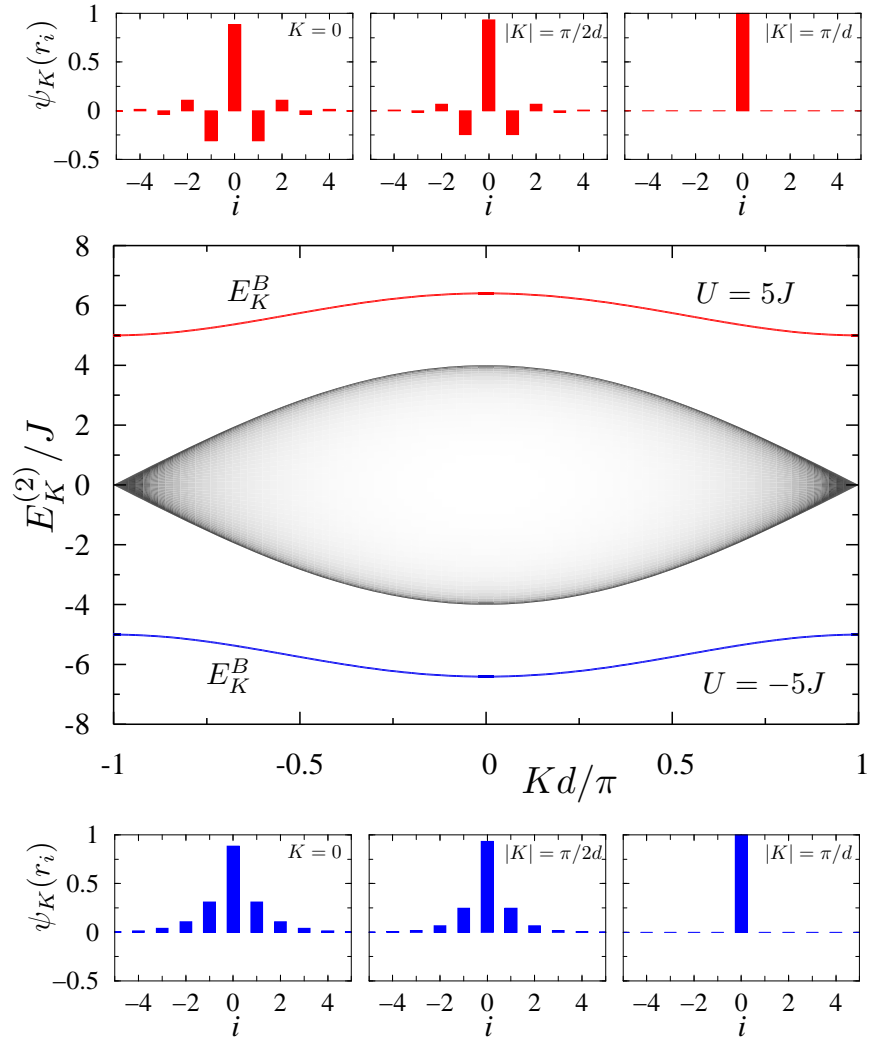
$\zeta < 1$ for $U/J > 2\sqrt{e} \Rightarrow$

Tightly-bound dimer

Dimer dispersion relation

$$E_K^B \simeq (U - 2\tilde{J}) - 2\tilde{J} \cos(Kd)$$

with $(U - 2\tilde{J})$ dimer “internal” energy
 $\tilde{J} \equiv -2J^2/U$ effective tunneling rate



Effective dimer Hamiltonian

$$H_{\text{eff}} = (U - 2\tilde{J}) \sum_j \hat{m}_j - \tilde{J} \sum_j (\hat{c}_j^\dagger \hat{c}_{j+1} + \hat{c}_{j+1}^\dagger \hat{c}_j)$$

with

\hat{c}_j (\hat{c}_j^\dagger) dimer annihilation (creation) & $\hat{m}_j \equiv \hat{c}_j^\dagger \hat{c}_j$ number operators at site j

$\tilde{J} \equiv -\frac{2J^2}{U}$ effective tunneling rate; $(U - 2\tilde{J})$ dimer “internal” energy

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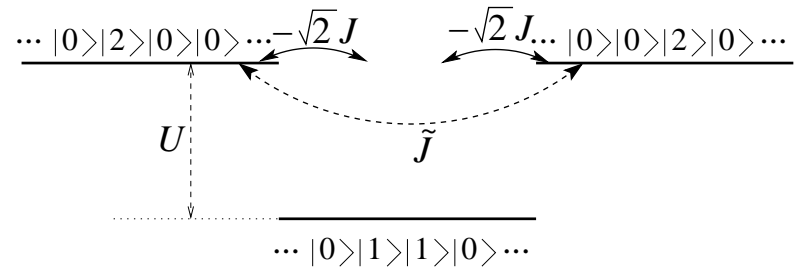
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Second-order perturbation theory:

Energies of $|2, 0\rangle$ & $|0, 2\rangle$ differ from $|1, 1\rangle$ by U



For $\frac{J}{|U|} \ll 1$ transition $|2, 0\rangle \rightarrow |1, 1\rangle$ is **non-resonant**

\Rightarrow On-site interaction $U (\geq 0)$ binds two atoms into a **dimer**

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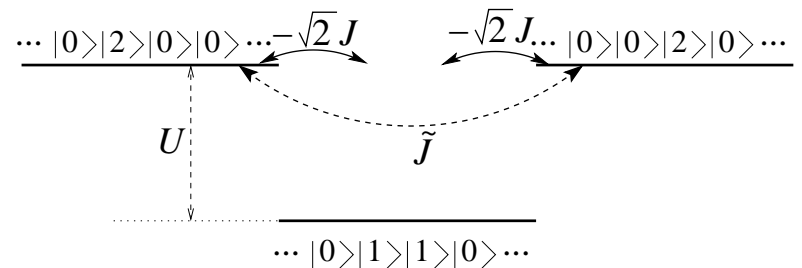
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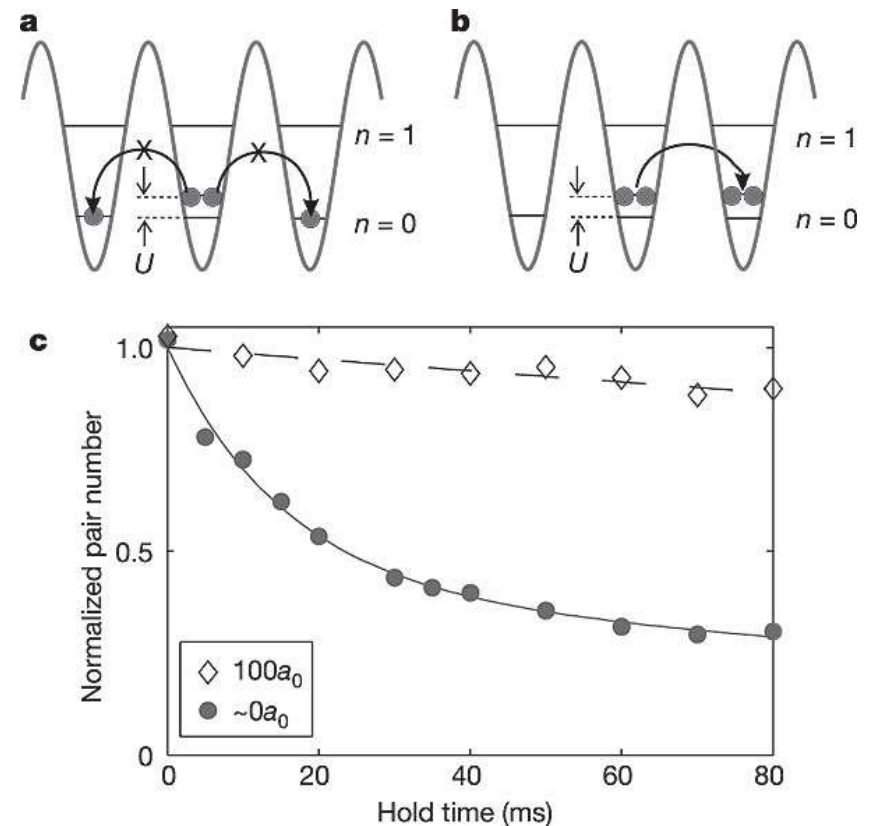
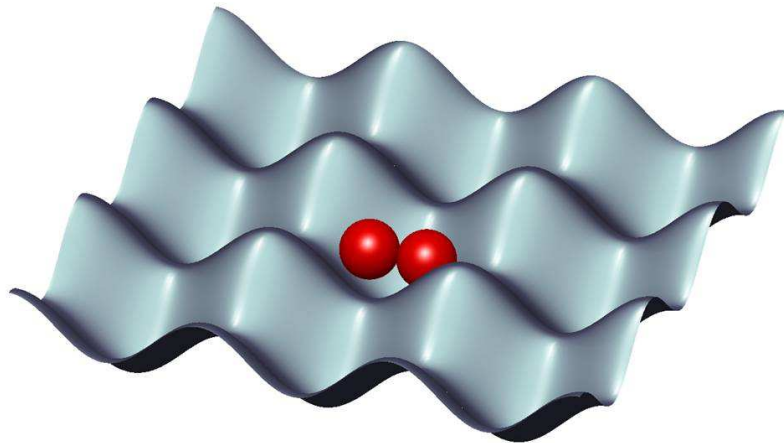
But $|2, 0\rangle \rightarrow |1, 1\rangle \rightarrow |0, 2\rangle$ is **resonant** (second order in J)

$\Rightarrow \tilde{J} = -\frac{2J^2}{U} (\ll J)$ effective (second-order) tunneling rate for **dimer**

[& $2\tilde{J}$ second-order dimer level shift: $(U - 2\tilde{J})$]

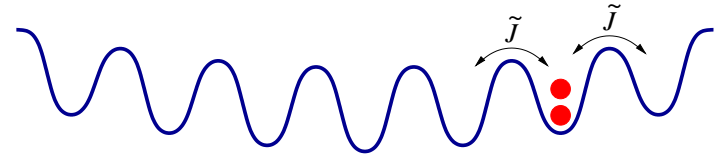
Repulsively bound atom pair: Experiment

Single dimer



Dimer in lattice and parabolic potential

Hamiltonians



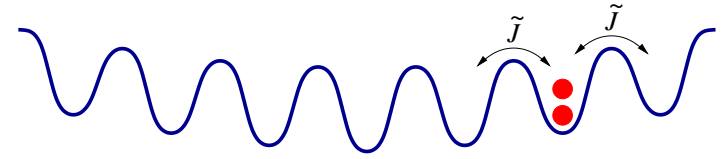
$$(a) H = \sum_j \left[\Omega j^2 \hat{n}_j + \frac{U}{2} \hat{n}_j (\hat{n}_j - 1) - J (\hat{b}_j^\dagger \hat{b}_{j+1} + \hat{b}_{j+1}^\dagger \hat{b}_j) \right]$$

$$(b) H_{\text{eff}} = \sum_j \left[\tilde{\Omega} j^2 \hat{m}_j + (U - 2\tilde{J}) \hat{m}_j - \tilde{J} (\hat{c}_j^\dagger \hat{c}_{j+1} + \hat{c}_{j+1}^\dagger \hat{c}_j) \right]$$

$$[\tilde{\Omega} = 2\Omega, \quad \hat{m}_j = \frac{1}{2} \hat{n}_j \ \& \ \rho^D = \frac{1}{2} \rho]$$

Dimer in lattice and parabolic potential

Hamiltonians



$$(a) H = \sum_j \left[\Omega j^2 \hat{n}_j + \frac{U}{2} \hat{n}_j (\hat{n}_j - 1) - J (\hat{b}_j^\dagger \hat{b}_{j+1} + \hat{b}_{j+1}^\dagger \hat{b}_j) \right]$$

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$$[\tilde{\Omega} = 2\Omega, \quad \hat{m}_j = \frac{1}{2} \hat{n}_j \ \& \ \rho^D = \frac{1}{2} \rho]$$

WP oscillations with period

$$\tau^D \simeq \frac{2\pi}{\omega^D} = \frac{\pi\hbar}{\tilde{J}} \sqrt{\frac{\tilde{J}}{\tilde{\Omega}}} = \frac{\pi\hbar}{2J} \sqrt{\frac{U}{\Omega}}$$

$$[\hbar\omega^D = 2\sqrt{\tilde{\Omega}\tilde{J}}]$$

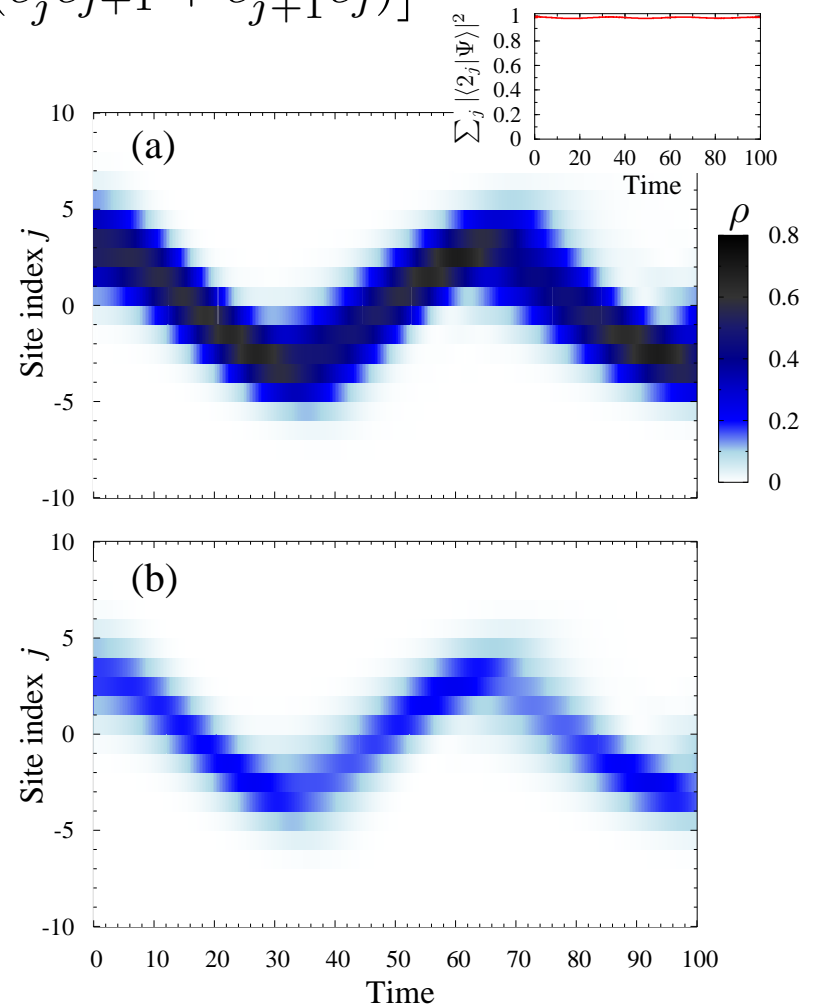
Initial state (shifted dimer ground state $|\Psi_0\rangle\rangle$)

$$|\Psi(t=0)\rangle = |\Psi_0^{(j'=3)}\rangle$$

$$= \sqrt[8]{\frac{\tilde{\Omega}}{\pi^2 |\tilde{J}|}} \sum_j e^{-\xi_{j-j'}^2/2} e^{i\pi j} |1_j^D\rangle$$

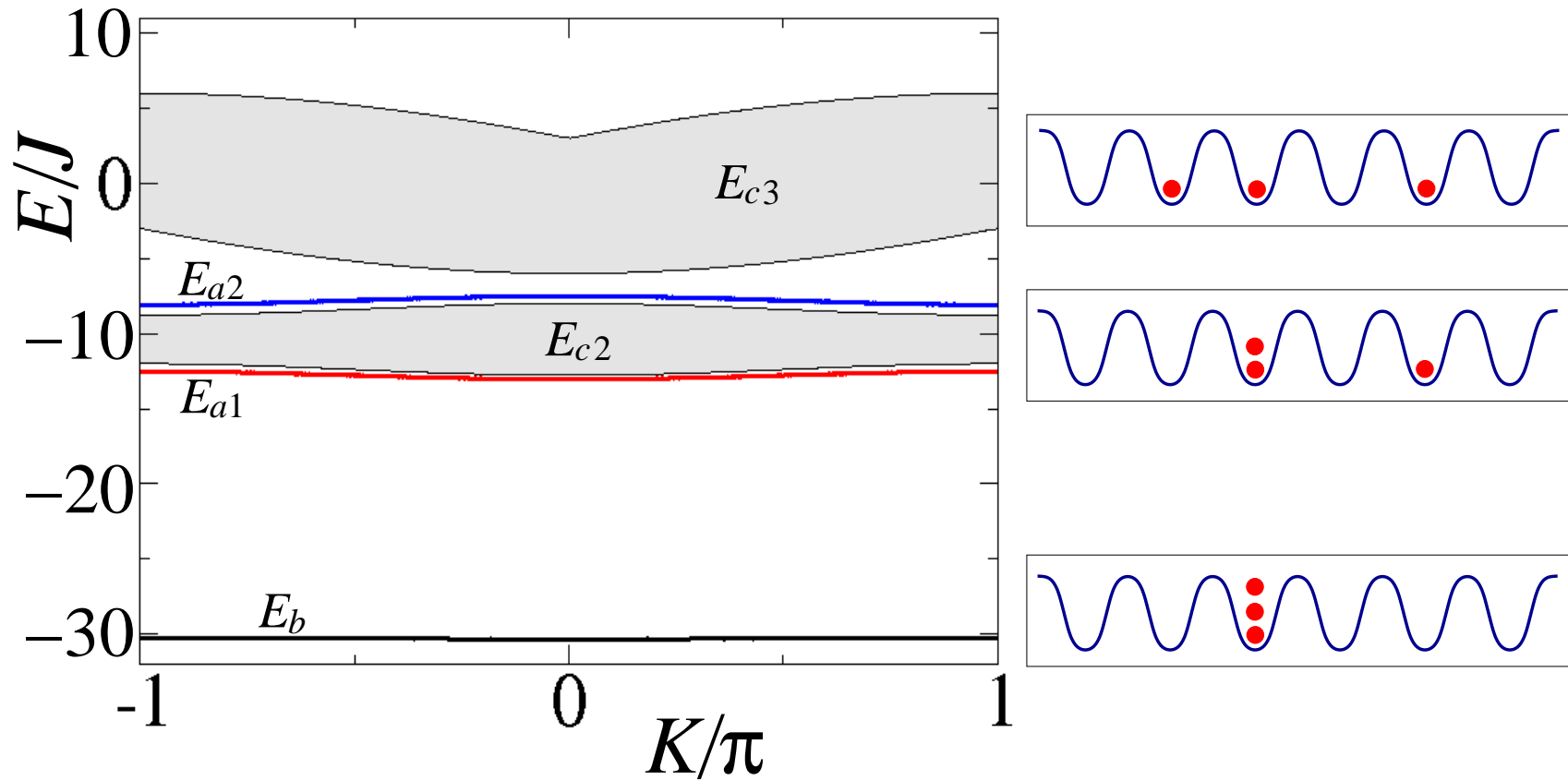
$$= \sqrt[8]{\frac{\Omega|U|}{\pi^2 J^2}} \sum_j e^{-\xi_{j-j'}^2/2} (-1)^j |2_j\rangle$$

Parameters $\frac{U}{J} = 10, \quad \frac{J}{\Omega} = 140 \Rightarrow N^D \simeq 11$



Three particles in Hubbard model (1D)

Complete three-body spectrum [$U = -10J$]

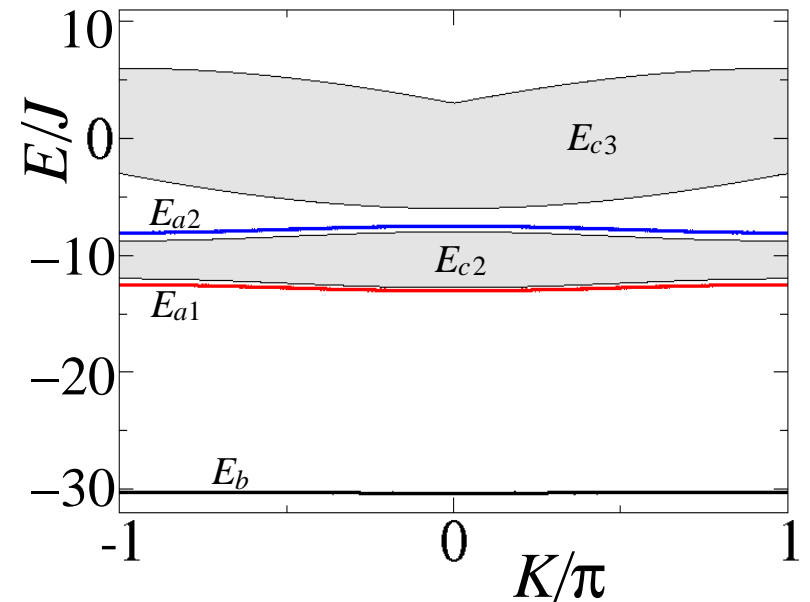


Three particles in Hubbard model (1D)

Three-body continuum

$$E_{c3} = \epsilon(k_1) + \epsilon(k_2) + \epsilon(K - k_1 - k_2)$$

$$\epsilon(k) = -2J \cos(k) \quad K = k_1 + k_2 + k_3$$



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Three-body continuum

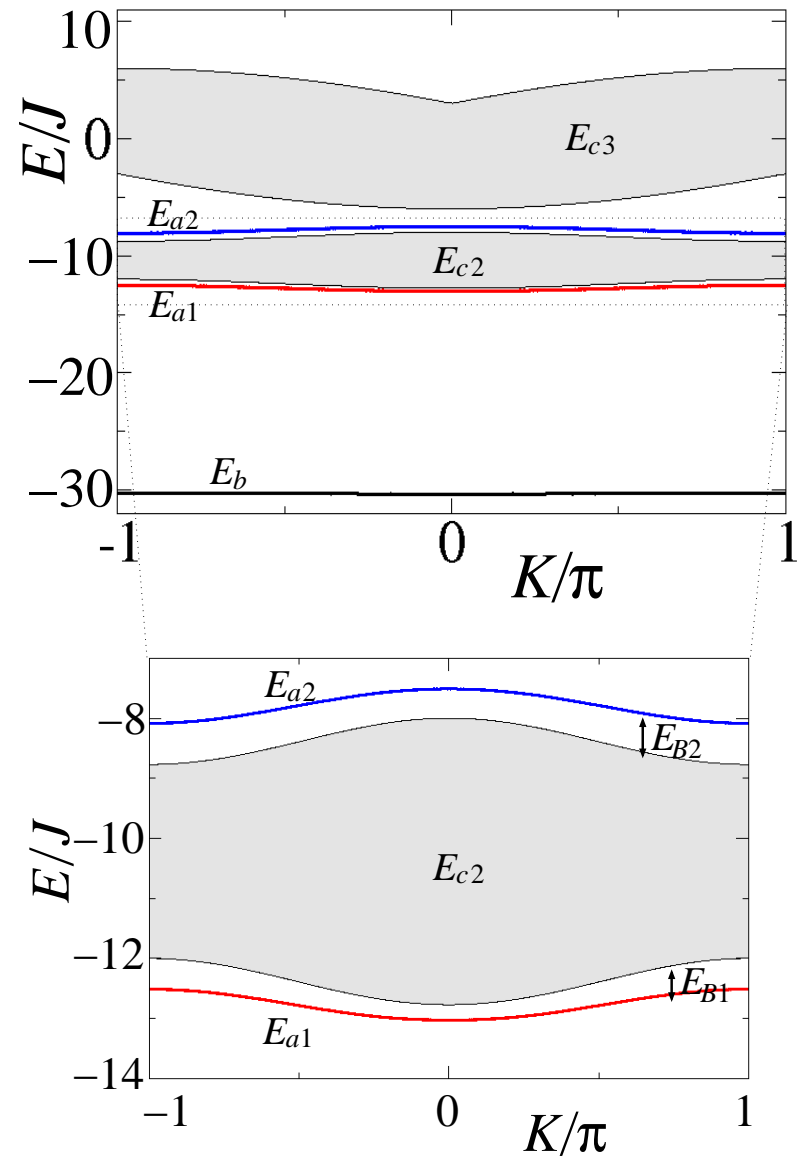
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Two-body continuum

$$E_{c2} = \epsilon^{(2)}(Q) + \epsilon(K - Q)$$

$$\begin{aligned} \epsilon^{(2)}(Q) &= \text{sgn}(U) \sqrt{U^2 + [4J \cos(Q/2)]^2} \\ &\simeq (U - 2\tilde{J}) - 2\tilde{J} \cos(Q) \end{aligned}$$



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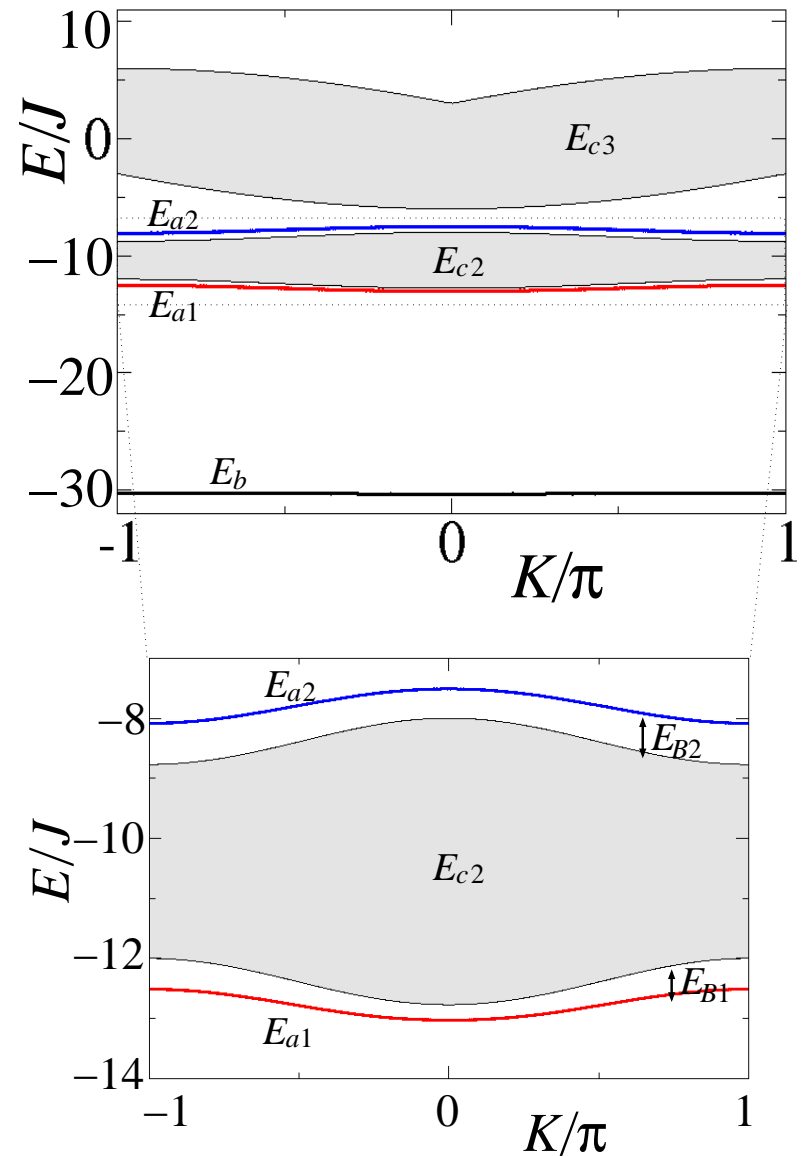
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Weakly-bound (off-site) trimers

$$E_{a1(2)} \simeq U + O(J)$$

- Effective dimer-monomer exchange $2J$



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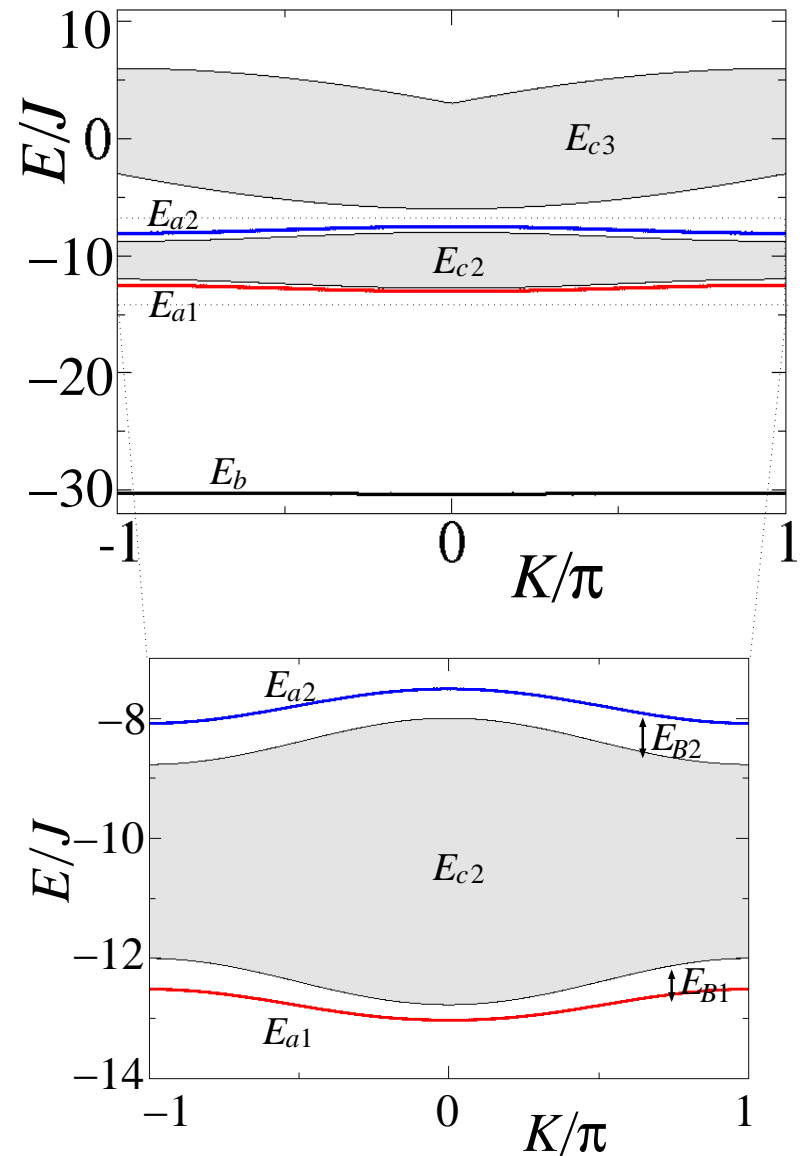
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$$E_{a1(2)} \simeq U + O(J)$$

- Effective dimer-monomer exchange $2J$

Strongly-bound (on-site) trimer

$$E_b \simeq 3U$$



Many-body physics of the Hubbard model

Superfluid and Mott-Insulating phases for bosons

Bose-Hubbard Hamiltonian

$$H = \sum_j \varepsilon_j \hat{n}_j - J \sum_{\langle j,i \rangle} \hat{b}_j^\dagger \hat{b}_i + \frac{U}{2} \sum_j \hat{n}_j (\hat{n}_j - 1) \quad (U > 0)$$

$\varepsilon_j \rightarrow -\mu_j$ [μ_j — local chemical potential for grand canonical ensemble]

Superfluid and Mott-Insulating phases for bosons

Bose-Hubbard Hamiltonian

$$H = \sum_j \varepsilon_j \hat{n}_j - J \sum_{\langle j,i \rangle} \hat{b}_j^\dagger \hat{b}_i + \frac{U}{2} \sum_j \hat{n}_j (\hat{n}_j - 1) \quad (U > 0)$$

$\varepsilon_j \rightarrow -\mu_j$ [μ_j — local chemical potential for grand canonical ensemble]

- $U \gg J (\rightarrow 0)$

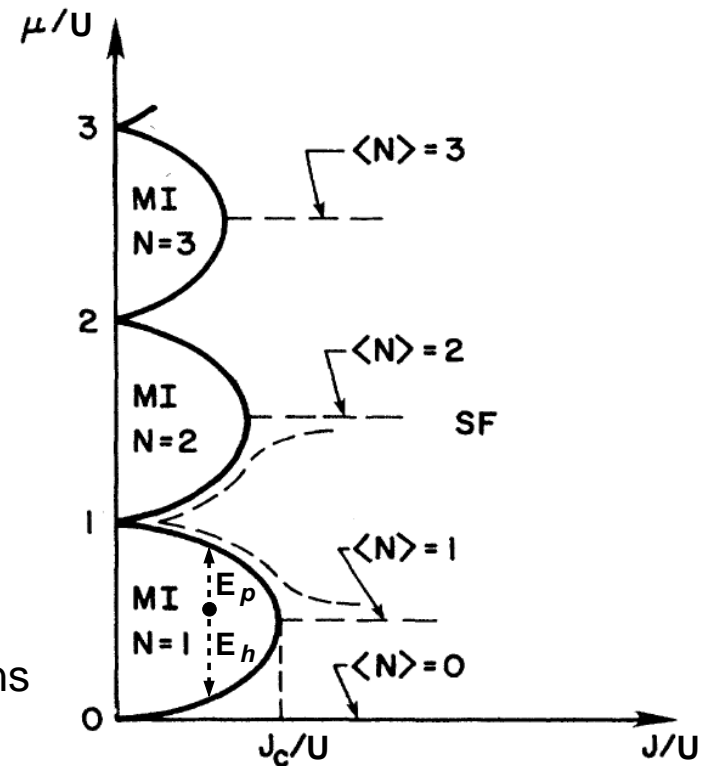
Energy per site: $E(n) = -\mu n + \frac{1}{2} U n(n-1)$

$\min E(n) \Rightarrow n-1 < \frac{\mu}{U} < n$ ($n=0$ for $\mu < 0$)

Mott insulating phase with $n = \text{integer}$

$|\Psi_{\text{MI}}\rangle = \dots |n\rangle_{j-2} |n\rangle_{j-1} |n\rangle_j |n\rangle_{j+1} |n\rangle_{j+2} \dots$

- Local number- (Fock-) state with no coherence
- Energy gap $E_{p,h} \sim U$ for *particle* and *hole* excitations
- Vanishing compressibility $\frac{\partial \langle n \rangle}{\partial \mu} = 0$



[From Fisher et al., PRB 40, 546 (1989)]

Superfluid and Mott-Insulating phases for bosons

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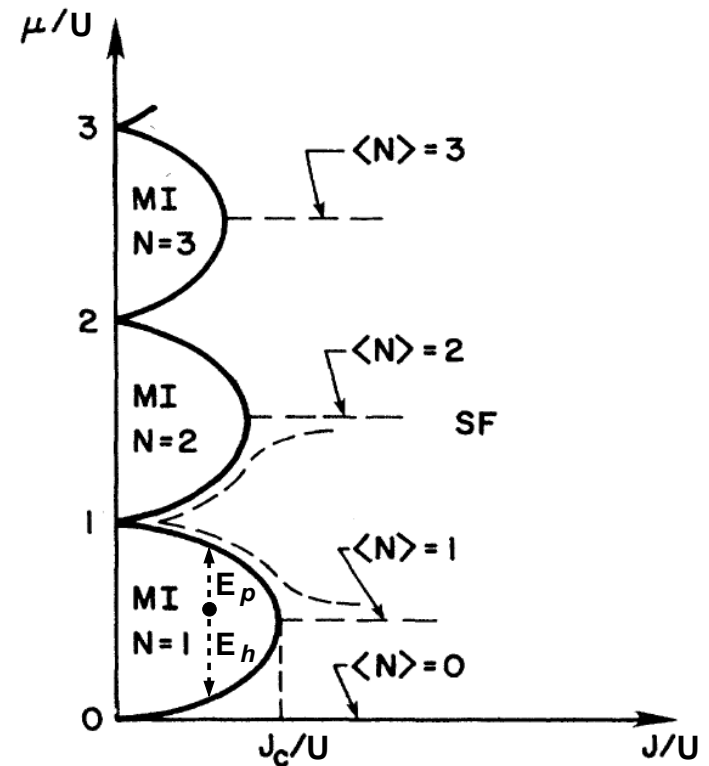
- $J > J_c(\sim U)$ [MF: $J_c(\langle n \rangle = 1) \simeq \frac{U}{5.8 \cdot 2D}$]

Kinetic Energy $E_q \propto J > U$

Superfluid (BEC) phase

$$|\Psi_{\text{MI}}\rangle \simeq \prod_j \sum_n c_n |n\rangle_j \xrightarrow{U \rightarrow 0} \prod_N |\psi_q\rangle = \prod_j |\alpha\rangle_j$$

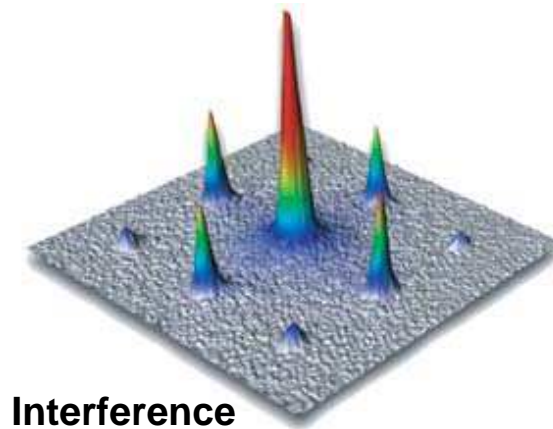
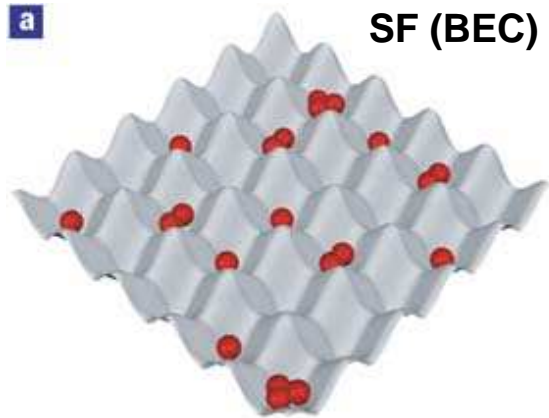
- Long range coherence $\langle \hat{b}_j^\dagger \hat{b}_i \rangle \neq 0 \Rightarrow$ Interference
- Gapless excitations
- Finite compressibility $\frac{\partial \langle n \rangle}{\partial \mu} > 0$



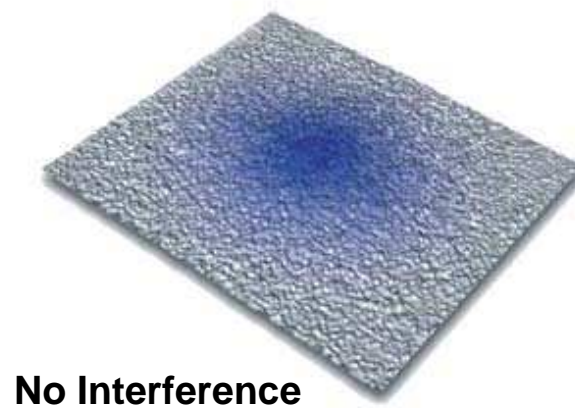
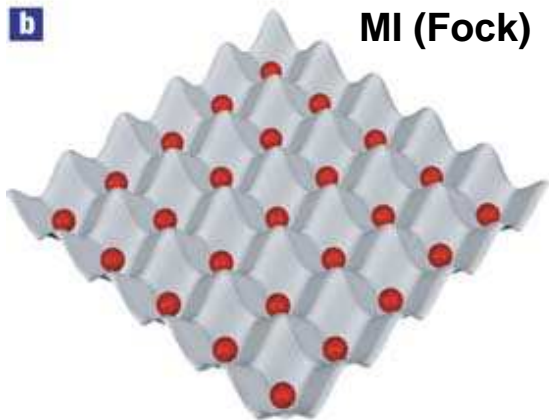
[From Fisher et al., PRB 40, 546 (1989)]

Superfluid and Mott-Insulating phases for bosons

Experimental observation of *Quantum Phase Transition*



Shallow OL: $J \gtrsim U$

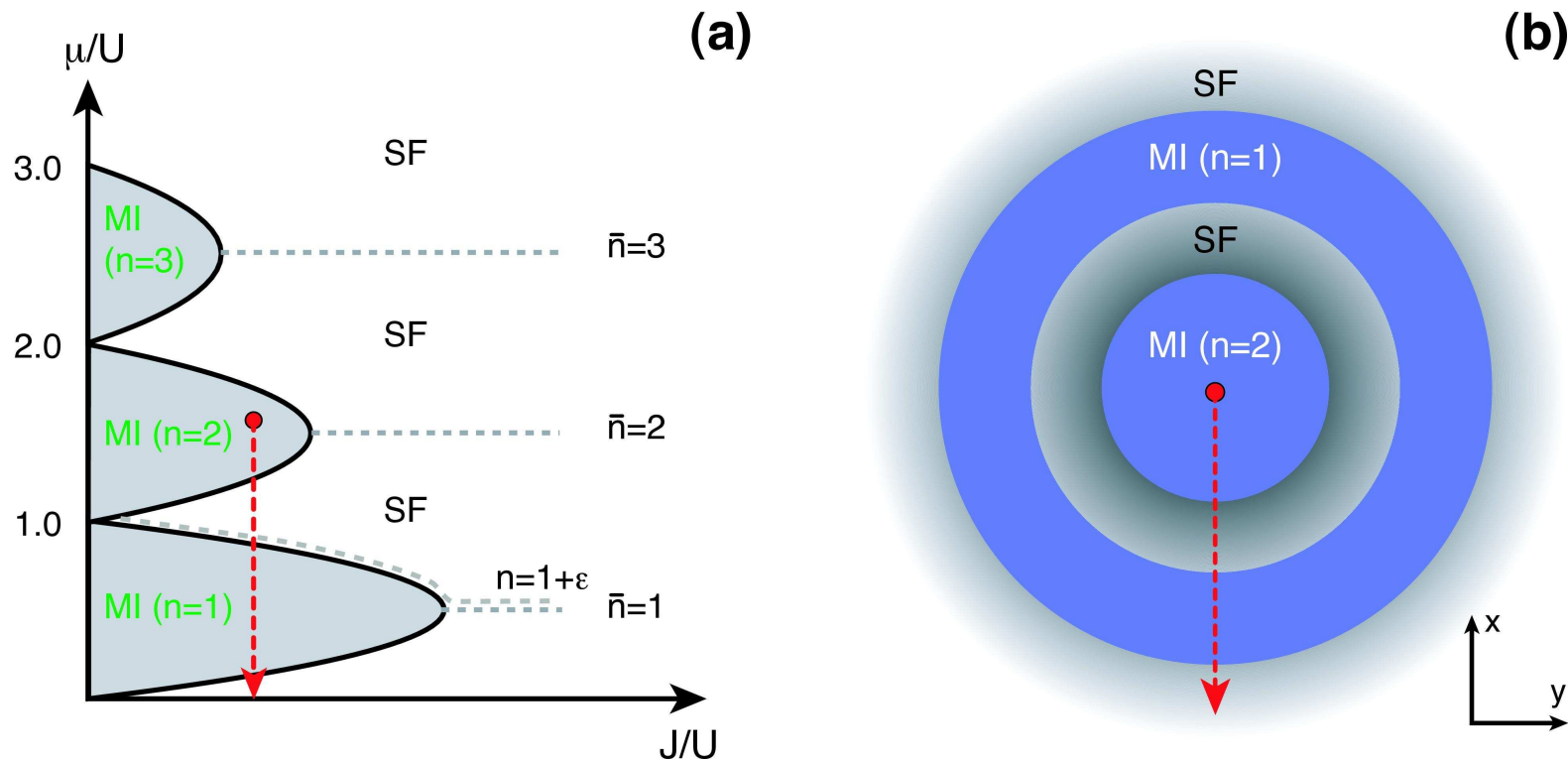


Deep OL: $U \gg J$

[From I. Bloch, *Nature Phys.* 1, 23 (2005)]

Superfluid and Mott-Insulating phases for bosons

In-trap density & phase distribution (OL + HP)



[from: Bloch, Dalibard, Zwerger, RMP 80, 885 (2008)]

Metal and Insulating phases for fermions

Fermi-Hubbard Hamiltonian $[\{f_{j,\sigma}, f_{j',\sigma'}^\dagger\} = \delta_{jj'}\delta_{\sigma\sigma'} \quad \hat{n}_{j,\sigma} = f_{j,\sigma}^\dagger f_{j,\sigma} (= 0, 1)]$

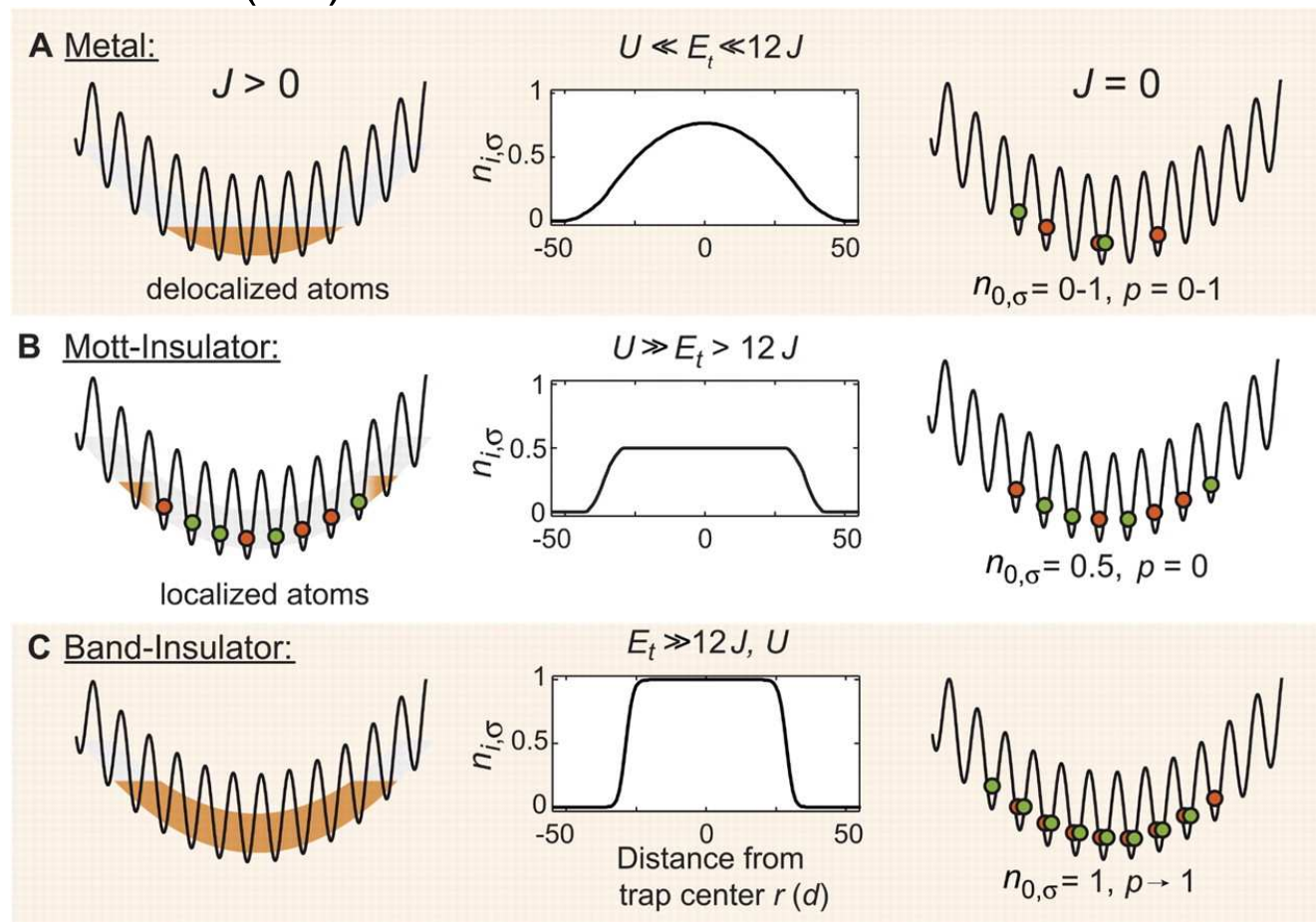
$$H = \sum_j \varepsilon_j (\hat{n}_{j,\downarrow} + \hat{n}_{j,\uparrow}) - J \sum_{\substack{\langle j,i \rangle \\ \sigma=\downarrow,\uparrow}} f_{j,\sigma}^\dagger f_{i,\sigma} + U \sum_j \hat{n}_{j,\downarrow} \hat{n}_{j,\uparrow} \quad (U > 0)$$

Metal and Insulating phases for fermions

Fermi-Hubbard Hamiltonian $[\{\hat{f}_{j,\sigma}, \hat{f}_{j',\sigma'}^\dagger\} = \delta_{jj'}\delta_{\sigma\sigma'} \quad \hat{n}_{j,\sigma} = \hat{f}_{j,\sigma}^\dagger \hat{f}_{j,\sigma} (= 0, 1)]$

$$H = \sum_j \varepsilon_j (\hat{n}_{j,\downarrow} + \hat{n}_{j,\uparrow}) - J \sum_{\substack{\langle j,i \rangle \\ \sigma=\downarrow,\uparrow}} \hat{f}_{j,\sigma}^\dagger \hat{f}_{i,\sigma} + U \sum_j \hat{n}_{j,\downarrow} \hat{n}_{j,\uparrow} \quad (U > 0)$$

OL + HP (3D)



Kinetic energy

$$E_q \simeq 3 \cdot 4J$$

Trap (Fermi) energy

$$E_t = \Omega [3N_\sigma / 2\pi]^2 / 3$$

with

$$\varepsilon_j = \Omega (j_x^2 + j_y^2 + j_z^2)$$

Summary

- Cold atoms in optical lattice potentials can implement important models of cond.-mat. physics (Hubbard, Heisenberg spin- $\frac{1}{2}$, etc.)
- Interacting atom pairs can form tightly-bound dimers in a lattice
 - Dimer-monomer (particle) exchange interaction can bind them into trimers
- Many-body dynamics in a lattice can exhibit quantum phase transitions

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Further reading

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